

Import LC Amendment Islamic User Guide  
**Oracle Banking Trade Finance Process Management**  
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Oracle Banking Trade Finance Process Management - Import LC Amendment Islamic User Guide  
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# Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTfPM) User Guide. This guide provides an overview on the OBTfPM application and takes you through the various steps involved in creating and processing trade finance transactions.

This document will take you through following activities in OBTfPM:

- To create and handle trade finance transaction.
- Help users to conveniently create and process trade finance transaction

## Overview

OBTfPM is a trade finance middle office platform, which enables bank to streamline the trade finance operations. OBTfPM enables the customers to send request for new trade finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

## Benefits

OBTfPM helps banks to manage trade finance operations across the globe in different currencies. OBTfPM allows you to:

- Handle all trade finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

## Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

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## Import LC Amendment Islamic

Import LC Amendment Islamic process enables the user to make an amendment to the LC which had been already issued. The common amendments that are made to an Import LC are:

- Expiry date
- Latest Shipment Date
- Increase/Decrease in LC amount
- Tolerance percentage
- Confirmation instruction
- Available with bank
- Port of loading/discharge
- Goods quantity
- Related documents to be submitted

The amendments may need consent from the beneficiary of the amendment. In such scenarios, after the processing of amendment, the amended LC is parked awaiting beneficiary consent.

The various stages involved for Import LC Amendment are:

- Receive and verify documents (Non Online Channel)- Registration stage
- Input amendment application details
- Upload of related mandatory and non-mandatory documents
- Verify documents and capture details (Online/Non Online Channels)- Scrutiny stage
- Input/Modify details of amendment of LC - Data Enrichment stage
- Check for limit availability
- Check balance availability for amount block
- Check for sanctions & KYC status
- Earmark limits/Create amount block for cash margin/charges
- Capture remarks for other users to check and act
- Generate acknowledgements and draft LC copies
- Notify customer on any negative statuses in any of the stages to the applicant
- Hand off request to back office

The design, development and functionality of the Islamic Import LC Amendment process flow is similar to that of conventional Import LC Amendment process flow.

This section contains the following topics:

Common Initiation Stage	Registration
Scrutiny	Data Enrichment
Customer Response - Draft Confirmation	Exceptions
Multi Level Approval	Customer - Acknowledgement
Customer - Reject Letter	Reject Approval

## Common Initiation Stage

The user can initiate the new import LC amendment request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.

Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.
LC Reference Number	Select the LC Reference Number.
Branch	Select the branch.

### Action Buttons

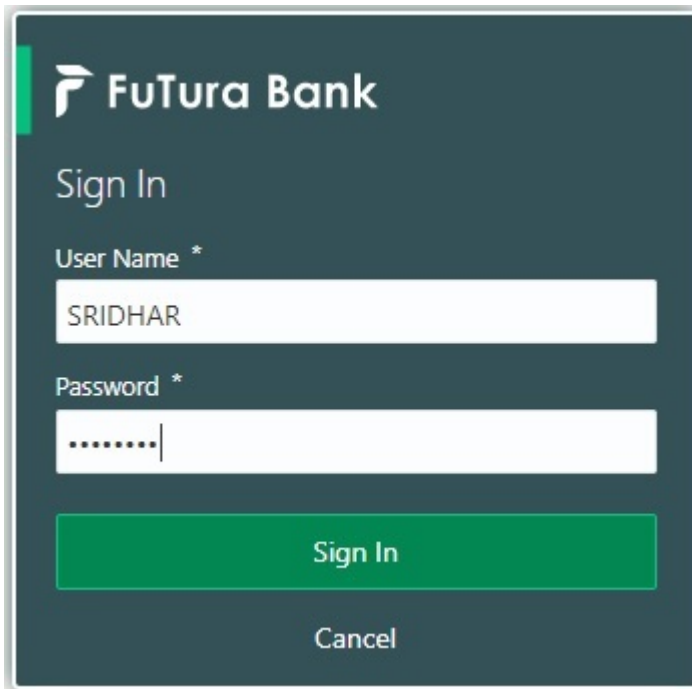
Use action buttons based on the description in the following table:

Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	The user can clear the contents update and can input values again.

## Registration

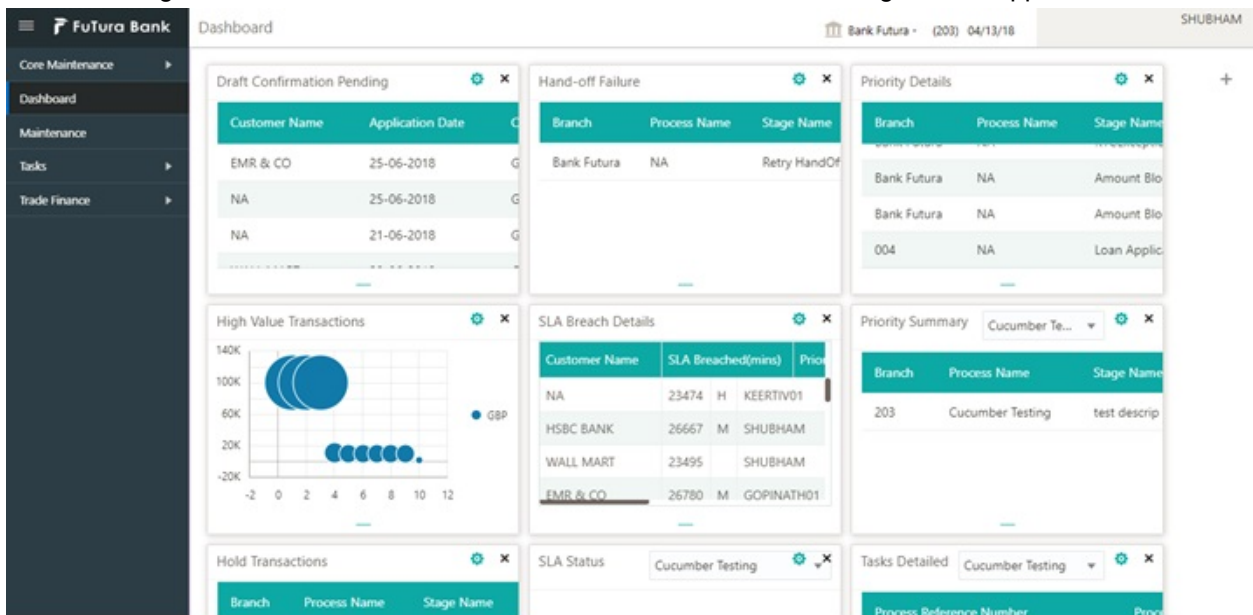
At the Registration stage, User can register a request for an Islamic Import LC amendment received at the front desk (as an application received physically/received by mail/fax). During Registration stage, the user captures the basic details of the amendment application, checks the signature of the applicant and uploads the related documents. On submit of the amendment request, the customer should be notified with acknowledgement and the request should be available for an LC Amendment expert to handle in the next stage.

3. Using the entitled login credentials for Registration stage, login to the OBTFPM application.



The image shows the 'FuTura Bank' login interface. It has a dark blue header with the bank's logo and name. Below the header, there's a 'Sign In' section. It includes a 'User Name' field with the text 'SRIDHAR' and a 'Password' field with masked characters '.....'. At the bottom of the sign-in section are two buttons: a green 'Sign In' button and a white 'Cancel' button.

4. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



The image shows the 'FuTura Bank' dashboard. It features a dark blue sidebar on the left with navigation links: 'Core Maintenance', 'Dashboard' (selected), 'Maintenance', 'Tasks', and 'Trade Finance'. The main area is titled 'Dashboard' and contains several widgets. At the top right, there's a header bar with 'Bank Futura - (203) 04/13/18' and the user name 'SHUBHAM'.

The dashboard widgets include:

- Draft Confirmation Pending:** A table with columns 'Customer Name', 'Application Date', and 'Status'. Data rows include 'EMR & CO' (25-06-2018), 'NA' (25-06-2018), and 'NA' (21-06-2018).
- Hand-off Failure:** A table with columns 'Branch', 'Process Name', and 'Stage Name'. Data row includes 'Bank Futura', 'NA', and 'Retry HandOf'.
- Priority Details:** A table with columns 'Branch', 'Process Name', and 'Stage Name'. Data rows include 'Bank Futura', 'NA', 'Amount Blo', and '004', 'NA', 'Loan Applic'.
- High Value Transactions:** A bubble chart showing transactions over time. The y-axis ranges from -20K to 140K. A legend indicates 'GBP'.
- SLA Breach Details:** A table with columns 'Customer Name', 'SLA Breached(mins)', and 'Priority'. Data rows include 'NA' (23474, H, KEERTIV01), 'HSBC BANK' (26667, M, SHUBHAM), 'WALL MART' (23495, SHUBHAM), and 'EMR & CO' (26780, M, GOPINATH01).
- Priority Summary:** A table with columns 'Branch', 'Process Name', and 'Stage Name'. Data row includes '203', 'Cucumber Testing', and 'test descrip'.
- Hold Transactions:** A table with columns 'Branch', 'Process Name', and 'Stage Name'.
- SLA Status:** A dropdown menu showing 'Cucumber Testing'.
- Tasks Detailed:** A table with columns 'Process Reference Number' and 'Process'.

## 5. Click Trade Finance> Import - Documentary Credit> Import LC Amendment.

The screenshot shows the FuTura Bank dashboard. The left sidebar contains a menu with 'Import LC Amendment' highlighted. The main content area displays three panels:

- Priority Summary:** A table showing the status of LC Amendment Beneficiary Co... for different branches and stages.
- High Priority Tasks:** A table listing tasks for various branches and stages.
- Pending Exception Approval:** A table showing pending approvals for different customers and stages.

Branch	Process Name	Stage Name	No of High Priority Items	No of Medium Priority Items	No of Low Priority Items
GS1	Export LC Amendment Beneficiary Consent	Registration	0	0	0
GS1	Export LC Amendment Beneficiary Consent	Approval1	0	0	0
GS1	Export LC Amendment Beneficiary Consent	Approval2	0	0	0

Branch	Process Name	Stage Name	Process Reference Number	Customer Name	User ID
NA	Scrutiny	Scrutiny	2031LCIS0022460	Marks and Spencer	OBDX01
NA	Scrutiny	Scrutiny	2031LCIS0022459	Marks and Spencer	OBDX01
NA	Scrutiny	Scrutiny	2031LCIS0022458	Marks and Spencer	OBDX01
NA	Scrutiny	Scrutiny	2031LCIS0022457	Marks and Spencer	OBDX01

Customer Name	Stage Name	Process Reference Number	Process Name	Branch Name	Currency
NESTLE	KYC Exception Approval	GS1ILCAM0022511	NA	FBN UK	GBP
EMR & CO	KYC Exception Approval	GS1ILCAM0022081	NA	FBN UK	GBP
NESTLE	Account Block Exception Approval	2031LCAM0022300	NA	DEMO BANK	GBP

The Registration stage has two sections Application Details and LC Amendment Details. Let's look at the details of Registration screens below:

## Application Details

The screenshot shows the 'Import LC Amendment Islamic' form. The form is divided into two main sections: 'Application Details' and 'LC Details'.

**Application Details:**

- 20 - Documentary Credit Number: PK2IRLJ121252503
- Branch: PK2-Oracle Banking Trade Finan...
- Submission Mode: Desk
- Received From Applicant Bank: [Toggle]
- Amendment No: 1
- Application Date: May 5, 2021
- Received From - Customer ID: 001044
- Process Reference Number: PK2ILM000007212
- Customer Reference Number: [Empty]
- Received From - Customer Name: GOODCARE PLC
- Priority: Medium
- Beneficiary Consent: [Toggle]


**LC Details:**

- Revolving: [Toggle]
- Advising Bank: 001041 WELLS FARGO
- Date of Expiry: Dec 30, 2021
- Beneficiary: 001043 MARKS AND SPENCER
- 39C - Additional Amount Covered: [Empty]
- LC Type: Sight
- 40A - Form of Documentary Credit: IRREVOCABLE
- 31D - Place of Expiry: Chennai
- 32B - Currency Code, Amount: GBP £100,000.00
- Amount In Local Currency: GBP £100,000.00
- Product Code: IRLI
- 31C - Date of Issue: May 5, 2021
- 51A - Applicant Bank: [Empty]
- 39A - Percentage Credit Amount Tolerance: [Empty]
- Back to Back LC: [Toggle]
- Product Description: Import Non Revolving - Sight
- 40E - Applicable Rules: UCP LATEST VERSION
- Applicant: 001044 GOODCARE PLC
- Limits/Collateral Required: [Toggle]

Buttons: Hold, Cancel, Save & Close, Submit

Provide the Application Details based on the description in the following table. In case of MT798, Application Details are defaulted to SWIFT


Field	Description	Sample Values
Documentary Credit Number	<p>Provide the documentary credit number. Alternatively, user can search the documentary credit number using LOV.</p> <p>In LOV search/advanced LOV search, user can input Applicant, Currency, Amount, User Reference and Contract Status to fetch the LC details. The user can also search the LC which are in 'Closed' contract status. On selecting a closed LC, system displays a confirmation message that 'The LC has been closed. Do you want to Reopen'.</p> <p>On confirmation, user can amend the required fields as in the case of amendment of an active LC</p> <p>Based on the search result, select the applicable LC to be amended.</p>	
Received From Applicant Bank	<p>Import LC amendment request can be received from the applicant or from the applicant's bank.</p> <p><b>Toggle on:</b> Switch on the toggle if LC Amendment request is received from applicant's bank.</p> <p><b>Toggle off:</b> Switch off the toggle if LC Amendment request is received from applicant.</p>	
Received From - Customer ID	<p>Read only field.</p> <p>Customer ID will be auto-populated based on the selected LC from the LOV.</p>	001344
Received From - Customer Name	<p>Read only field.</p> <p>Customer Name will be auto-populated based on the selected LC from the LOV.</p>	EMR & CO
Branch	<p>Read only field.</p> <p>Branch details will be auto-populated based on the selected LC from the LOV.</p>	203-Bank Futura -Branch FZ1
Amendment No.	<p>Read only field.</p> <p>Amendment number will be auto-populated based on selected LC using documentary credit number. Amendment number increases by 1 for each amendment.</p>	
Process Reference Number	<p>Unique sequence number for the transaction.</p> <p>This is auto generated by the system based on process name and branch code.</p>	
Priority	System will default the Priority as Low/Medium/High based on maintenance.	High




Field	Description	Sample Values
Submission Mode	<p>Select the submission mode of Import LC Amendment request. By default the submission mode will have the value as 'Desk'.</p> <p><b>Desk-</b> Request received through Desk</p> <p><b>Fax-</b> Request received through Fax</p> <p><b>Email-</b> Request received through Email</p> <p><b>Courier-</b> Request received through Courier</p>	Desk
Application Date	<p>By default, the application will display branch's current date and enables the user to change the date to any back date.</p> <div data-bbox="683 689 746 779">  <p><b>Note</b></p> </div> <p>Future date selection is not allowed.</p>	04/13/2018
Customer Reference Number	<p>User can enter the 'Reference number' provided by the applicant/ applicant bank.</p> <p>Enables the user to provide a unique Customer Reference Number for the amendment.</p>	
Beneficiary Consent	<p><b>Toggle on:</b> Beneficiary consent required for the amendment made to the fields. Toggle must be on if amendments are made to the following fields:</p> <ul style="list-style-type: none"> <li>• Beneficiary</li> <li>• Documentary Credit Amount</li> <li>• Expiry Date</li> <li>• Tolerance</li> <li>• Available By</li> <li>• Tenor</li> <li>• Mixed/Deferred Payment Detail</li> <li>• Latest Shipment Date</li> </ul> <p>Application will display warning message, if the toggle is not enabled for the amendments made to the above mentioned fields.</p> <p><b>Toggle off:</b> Switch off the toggle if beneficiary consent is not required for the amendments.</p>	


## LC Details

Details in this screen displays the data from the LC issued.

Provide the LC Details based on the description in the following table:

Field	Description	Sample Values
Revolving	<b>Toggle On:</b> LC type is Revolving. <b>Toggle Off:</b> LC is type Non Revolving.	
LC Type	Read only field. LC type will be populated based selected LC using documentary credit number.	
Product Code	Read only field. This field displays the product code of the selected LC.	
Product Description	Read only field. This field displays the description of the product as per the product code.	
Advising Bank	This field displays the advising bank details (if provided) of the selected LC and user can amend if required.  <div>  <p><b>Note</b> In case the selected Bank is not RMA Compliant, the system prompts the user to use a different advising bank or use non SWIFT Media to transmit the LC and displays error message "RMA arrangement not available, please change the bank or use MAIL Medium".</p> </div>	
40A - Form of Documentary Credit	Read only field. This field displays the form of documentary credit details of the selected LC.	
Date of Issue	Read only field. This field displays the LC issuance date.	

Field	Description	Sample Values
Applicable Rules	This field displays the rules of the selected LC and user can amend if required.	
Date Of Expiry	<p>This field displays the expiry date of the selected LC. and user can amend if required.</p>  <p><b>Note</b> If amendment to the Expiry date (postponing the expiry date before expiry date of the underlying Export LC), field in the Import LC, system validates the amended value against the Export LC value and display configurable override.</p>	09/30/18
Place of Expiry	This field displays the place of expiry of the selected LC and user can amend if required.	
Applicant Bank	<p>Read only field.</p> <p>This field displays the applicant bank details of the selected LC.</p>	
Applicant	<p>Read only field.</p> <p>This field displays the details of the applicant of the selected LC.</p>	
Beneficiary	<p>This field displays the beneficiary details of the selected LC and user can amend if required.</p>  <p><b>Note</b> If the user amend this field and the selected beneficiary/ party is blacklisted the system displays a warning message.</p>	
Currency Code, Amount	<p>This field displays the value of LC along with the currency details of the selected LC and user can amend if required.</p>  <p><b>Note</b> If amendment to the Amount (greater than the Export LC outstanding amount), field in the Import LC, system validates the amended value against the Export LC value and display configurable override.</p>	
Percentage Credit Amount Tolerance	This field displays the percentage credit amount tolerance details of the selected LC and user can amend if required.	

Field	Description	Sample Values
Limits/Collateral Required	<p><b>Toggle On:</b> Set the toggle 'On' to enable limit check.</p> <p><b>Toggle Off:</b> Set the toggle 'Off' to disable limit check.</p>	
Additional Amount Covered	This field displays the details of additional amount covered of the selected LC and user can amend if required.	
Amount In Local Currency	<p>After the tab out of 'Currency Code, Amount' field, system populates the Local currency and amount value in this field.</p> <p>System fetches the local currency equivalent value for the LC amount from back office (with decimal places).</p>	
Back to Back LC	<p>Flag to check if the Import LC is a back to back LC.</p> <p>Toggle On: Set the Toggle On if back to back LC is applicable.</p> <p>Toggle Off: Set the Toggle Off if back to back LC is applicable.</p>	
Export LC Reference	<p>Export LC Reference that is to be linked to Back to back LC.</p> <p>User can select or enter the underlying Export LC reference.</p>	
Export LC Available Amount	The system displays the available amount under the Export LC.	
Export LC Expiry Date	The system displays the expiry date of the underlying Export LC.	
Export LC Shipment Date	<p>The system displays the latest shipment date of the underlying Export LC.</p> <p>  <b>Note</b>            If amendment to the Date (postponing the Latest Shipment Date before the Latest Shipment date of the underlying Export LC) , field in the Import LC, system validates the amended value against the Export LC value and display configurable override.</p>	

## Miscellaneous

Import LC Amendment Islamic

**Application Details**

20 - Documentary Credit Number  
PK2IRLJ211252503

Branch  
PK2-Oracle Banking Trade Finan...

Submission Mode  
Desk

Received From Applicant Bank

Amendment No  
1

Application Date  
May 5, 2021

Received From - Customer ID \*  
001044

Process Reference Number  
PK2ILM000007212

Customer Reference Number

Received From - Customer Name  
GOODCARE PLC

Priority  
Medium

Beneficiary Consent

**LC Details**

Revolving

Advising Bank  
001041 WELLS FARGO

Date of Expiry  
Dec 30, 2021

Beneficiary  
001043 MARKS AND

39C - Additional Amount Covered

LC Type  
Sight

40A - Form of Documentary Credit  
IRREVOCABLE

31D - Place of Expiry  
Chennai

32B - Currency Code, Amount  
GBP £100,000.00

Amount In Local Currency  
GBP £100,000.00

Product Code  
IRLJ

31C - Date of Issue  
May 5, 2021

51A - Applicant Bank

39A - Percentage Credit Amount Tolerance  
/

Back to Back LC

Product Description  
Import Non Revolving Sight

40E - Applicable Rules  
UCP LATEST VERSION

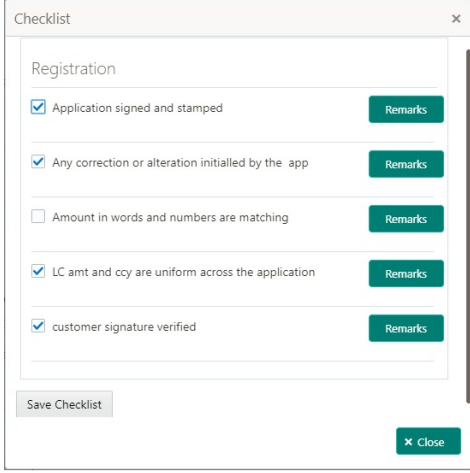
Applicant  
001044 GOODCARE PLC

Limits/Collateral Required

Hold Cancel Save & Close Submit

Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the required documents.	
Remarks	Provide any additional information regarding the LC. This information can be viewed by other users processing the request.	
View LC	Enables user to view the details of the LC.	
<b>Action Buttons</b>		
Submit	On submit, system will trigger acknowledgment to the customer and give confirmation message for successful submission. Task will get moved to next logical stage of Import LC Amendment.  If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and displays the task in you queue for working later.  This option will not submit the request.	
Cancel	Cancels the Import LC Amendment Registration stage inputs.	
Hold	The details provided will be registered and status will be on hold.  This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	

Field	Description	Sample Values
Checklist	<p>Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit.</p> 	

## Scrutiny

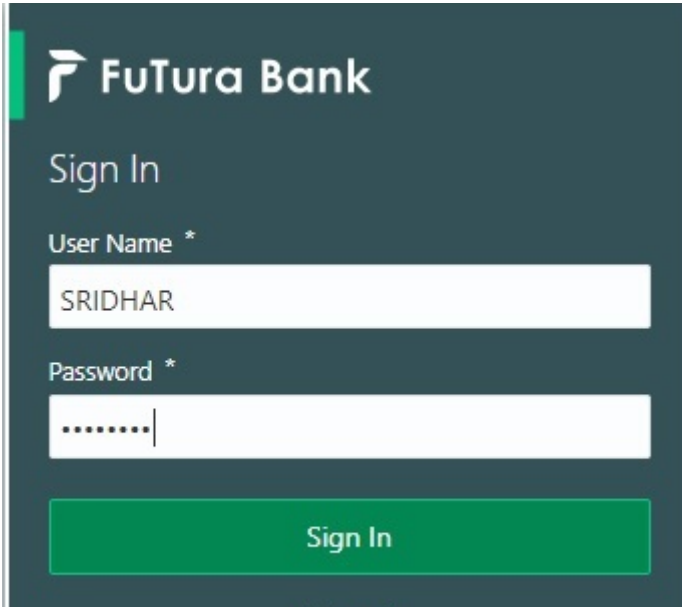
On successful completion of Registration of an Import LC Amendment request, the request moves to Scrutiny stage. At this stage the gathered information during Registration are scrutinized for Islamic Import LC Amendment.

As part of scrutiny, the user can enter/update basic details of the Islamic LC Amend request and can verify if the request can be progressed further.

**Online Channel** - Requests that are received via online channels like trade portal, external system and SWIFT are available directly for further processing from Scrutiny stage and available data for all data segments from Application stage to Data Enrichment stage would be auto populated as in conventional process flow.

Do the following steps to acquire a task currently at Scrutiny stage:

1. Using the entitled login credentials for Scrutiny stage, login to the OBTFPM application.



**FuTura Bank**

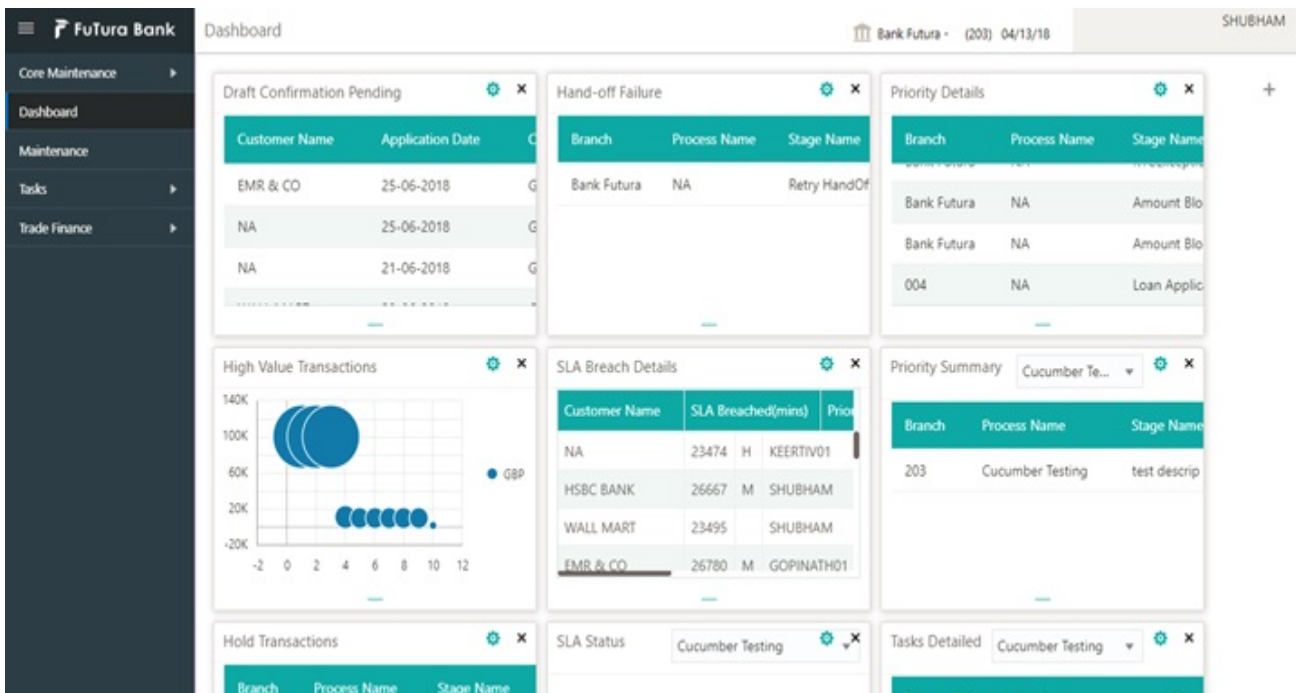
Sign In

User Name \*  
SRIDHAR

Password \*  
.....

Sign In

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



**FuTura Bank** Dashboard

Bank Futura • (203) 04/13/18 SHUBHAM

**Core Maintenance**

- Dashboard
- Maintenance
- Tasks
- Trade Finance

**Draft Confirmation Pending**

Customer Name	Application Date	Stage
EMR & CO	25-06-2018	G
NA	25-06-2018	G
NA	21-06-2018	G

**Hand-off Failure**

Branch	Process Name	Stage Name
Bank Futura	NA	Retry HandOff

**Priority Details**

Branch	Process Name	Stage Name
Bank Futura	NA	Amount Blo
Bank Futura	NA	Amount Blo
004	NA	Loan Applic

**High Value Transactions**

140K  
100K  
60K  
20K  
-20K

-2 0 2 4 6 8 10 12

GBP

**SLA Breach Details**

Customer Name	SLA Breached(mins)	Priority
NA	23474	H KEERTIVO1
HSBC BANK	26667	M SHUBHAM
WALL MART	23495	SHUBHAM
EMR & CO	26780	M GOPINATH01

**Priority Summary**

Branch	Process Name	Stage Name
203	Cucumber Testing	test descrip

**Hold Transactions**

Branch	Process Name	Stage Name
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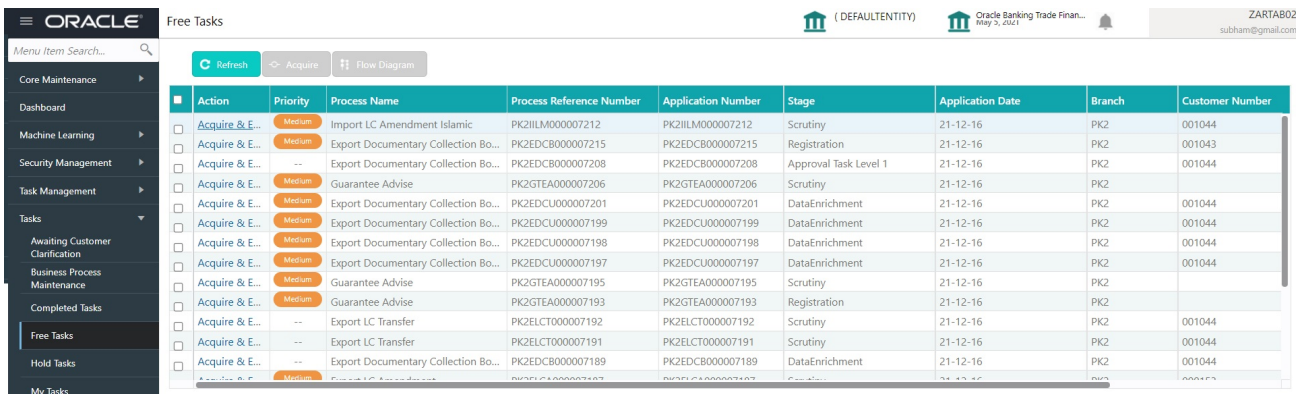
**SLA Status**

Cucumber Testing

**Tasks Detailed**

Cucumber Testing

3. Click **Trade Finance> Tasks> Free Tasks**.



**ORACLE** Free Tasks

( DEFAULTTIVITY) Oracle Banking Trade Finan... May 3, 2021 ZARTAB02 subham@gmail.com

Menu Item Search...

Refresh Acquire Flow Diagram

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
Acquire & E...	Medium	Import LC Amendment Islamic	PK2IILM000007212	PK2IILM000007212	Scrutiny	21-12-16	PK2	001044
Acquire & E...	Medium	Export Documentary Collection Bo...	PK2EDCB000007215	PK2EDCB000007215	Registration	21-12-16	PK2	001043
Acquire & E...	Medium	Export Documentary Collection Bo...	PK2EDCB000007208	PK2EDCB000007208	Approval Task Level 1	21-12-16	PK2	001044
Acquire & E...	Medium	Guarantee Advise	PK2GTEA000007206	PK2GTEA000007206	Scrutiny	21-12-16	PK2	001044
Acquire & E...	Medium	Export Documentary Collection Bo...	PK2EDCU000007201	PK2EDCU000007201	DataEnrichment	21-12-16	PK2	001044
Acquire & E...	Medium	Export Documentary Collection Bo...	PK2EDCU000007199	PK2EDCU000007199	DataEnrichment	21-12-16	PK2	001044
Acquire & E...	Medium	Export Documentary Collection Bo...	PK2EDCU000007198	PK2EDCU000007198	DataEnrichment	21-12-16	PK2	001044
Acquire & E...	Medium	Export Documentary Collection Bo...	PK2EDCU000007197	PK2EDCU000007197	DataEnrichment	21-12-16	PK2	001044
Acquire & E...	Medium	Guarantee Advise	PK2GTEA000007195	PK2GTEA000007195	Scrutiny	21-12-16	PK2	001044
Acquire & E...	Medium	Guarantee Advise	PK2GTEA000007193	PK2GTEA000007193	Registration	21-12-16	PK2	001044
Acquire & E...	Medium	Export LC Transfer	PK2ELCT000007192	PK2ELCT000007192	Scrutiny	21-12-16	PK2	001044
Acquire & E...	Medium	Export LC Transfer	PK2ELCT000007191	PK2ELCT000007191	Scrutiny	21-12-16	PK2	001044
Acquire & E...	Medium	Export Documentary Collection Bo...	PK2EDCB000007189	PK2EDCB000007189	DataEnrichment	21-12-16	PK2	001044

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

Menu Item Search...

Core Maintenance Dashboard Machine Learning Security Management Task Management Tasks Awaiting Customer Clarification Business Process Maintenance Completed Tasks Free Tasks Hold Tasks My Tasks Search

Refresh Acquire Flow Diagram

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
Acquire & Edit	Medium	Import LC Amendment Islamic	PK2IILM000007212	PK2IILM000007212	Scrutiny	21-12-16	PK2	001044
Acquire & Edit	Medium	Export Documentary Collection Bo...	PK2EDCB000007215	PK2EDCB000007215	Registration	21-12-16	PK2	001043
Acquire & Edit	Medium	Export Documentary Collection Bo...	PK2EDCB000007208	PK2EDCB000007208	Approval Task Level 1	21-12-16	PK2	001044
Acquire & Edit	Medium	Guarantee Advise	PK2GTEA000007206	PK2GTEA000007206	Scrutiny	21-12-16	PK2	001044
Acquire & Edit	Medium	Export Documentary Collection Bo...	PK2EDCU000007201	PK2EDCU000007201	DataEnrichment	21-12-16	PK2	001044
Acquire & Edit	Medium	Export Documentary Collection Bo...	PK2EDCU000007199	PK2EDCU000007199	DataEnrichment	21-12-16	PK2	001044
Acquire & Edit	Medium	Export Documentary Collection Bo...	PK2EDCU000007198	PK2EDCU000007198	DataEnrichment	21-12-16	PK2	001044
Acquire & Edit	Medium	Export Documentary Collection Bo...	PK2EDCU000007197	PK2EDCU000007197	DataEnrichment	21-12-16	PK2	001044
Acquire & Edit	Medium	Guarantee Advise	PK2GTEA000007195	PK2GTEA000007195	Scrutiny	21-12-16	PK2	001044
Acquire & Edit	Medium	Guarantee Advise	PK2GTEA000007193	PK2GTEA000007193	Registration	21-12-16	PK2	001044
Acquire & Edit	Medium	Export LC Transfer	PK2ELCT000007192	PK2ELCT000007192	Scrutiny	21-12-16	PK2	001044
Acquire & Edit	Medium	Export LC Transfer	PK2ELCT000007191	PK2ELCT000007191	Scrutiny	21-12-16	PK2	001044
Acquire & Edit	Medium	Export Documentary Collection Bo...	PK2EDCB000007189	PK2EDCB000007189	DataEnrichment	21-12-16	PK2	001044

Page 1 of 135 (1 - 20 of 2698 items) K < 1 2 3 4 5 ... 135 > X

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to scrutinize the registered task.

Menu Item Search...

Core Maintenance Dashboard Machine Learning Security Management Task Management Tasks Awaiting Customer Clarification Business Process Maintenance Completed Tasks Free Tasks Hold Tasks My Tasks Search

Refresh Release Escalate Delegate Flow Diagram

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
Edit	Medium	Import LC Amendment Islamic	PK2IILM000007212	PK2IILM000007212	Scrutiny	21-12-16	PK2	001044
Edit	Medium	Import LC Issuance Islamic	PK2IILU000007200	PK2IILU000007200	KYC Exceptional approval	21-12-16	PK2	000325
Edit	Medium	Shipping Guarantee Issuance	PK2SGTI000007183	PK2SGTI000007183	DataEnrichment	21-12-16	PK2	001044
Edit	Medium	Lodge Claim - Guarantee Issued	PK2GTGEC000007179	PK2GTGEC000007179	Scrutiny	21-12-16	PK2	001044
Edit	Medium	Guarantee advise claim lodging	PK2GADC000007176	PK2GADC000007176	DataEnrichment	21-12-16	PK2	001044
Edit	Medium	Guarantee Issuance Closure	PK2GTGEC000007172	PK2GTGEC000007172	DataEnrichment	21-12-16	PK2	001044
Edit	Medium	Guarantee SBLC Issuance -Claim ...	PK2GISC000007171	PK2GISC000007171	DataEnrichment	21-12-16	PK2	001044
Edit	Medium	Guarantee Issuance Internal Ame...	PK2GTGEC000007167	PK2GTGEC000007167	DataEnrichment	21-12-16	PK2	001044
Edit	Medium	Guarantee Issuance Internal Ame...	PK2GTGEC000007163	PK2GTGEC000007163	Registration	21-12-15	PK2	001044
Edit	Medium	Guarantee Issuance Amendment ...	PK2GTGEC000007162	PK2GTGEC000007162	DataEnrichment	21-12-15	PK2	001044
Edit	Medium	Guarantee Cancellation	PK2GTGEC000007160	PK2GTGEC000007160	DataEnrichment	21-12-15	PK2	000325
Edit	Medium	Guarantee Advise Cancellation	PK2GTAC000007159	PK2GTAC000007159	DataEnrichment	21-12-15	PK2	001044
Edit	Medium	Guarantee Advise Amendment	PK2GTAA000007158	PK2GTAA000007158	DataEnrichment	21-12-15	PK2	001044

Page 1 of 9 (1 - 20 of 168 items) K < 1 2 3 4 5 ... 9 > X

The Scrutiny stage has five sections as follows:

- Main Details
- Availability & Shipment
- Payment Details
- Amendment Details
- Additional Fields
- Additional Details
- Settlement Details
- Summary

Let's look at the details for Scrutiny stage. User can enter/update the following fields. Some of the fields that are already having value from Registration /online channels may not be editable.

Audit - This button provides user audit trail transaction, initiated date, stage wise detail etc..

Task Audit Trail Details					
Application No.	Branch Code	Initiated Date	Initiated By		
GS1ILCA000006076	GS1	2019-11-29	SRIDHAR01		
Process Name					
Import LC Amendment					
S.No	Stage Name	Pickup Time	Completed Time	Completed By	Outcome
1	Registration	2019-11-29 15:04:10	2019-11-29 15:14:07	SRIDHAR01	PROCEED

[Close](#)

## Main Details

Main details section has three sub section as follows:

- Application Details
- LC Amendment Details

## Application Details

All fields displayed under Application details section, would be read only except for the **Priority**. Refer to [Application Details](#) for more information of the fields.

Import LC Amendment Islamic - Scrutiny :: Application No: PK2IILM000007212

[Main Details](#)
[Availability Shipment](#)
[Payment Details](#)
[Amendment Details](#)
[Additional Fields](#)
[Additional Details](#)
[Summary](#)

### Main Details

#### Application Details

Received From - Customer ID *	Received From - Customer Name	20 - Documentary Credit Number
001044	GOODCARE PLC	PK2IIRL211252503
Amendment No	Process Reference Number	Priority
1	PK2IILM000007212	Medium
Application Date	Customer Reference Number	Beneficiary Consent
May 5, 2021		<input type="radio"/>

#### LC Details

Revolving	LC Type	Product Code	Product Description
<input type="radio"/>	Sight	IRLJ	Import Non Revolving - Sight
Advising Bank	40A - Form of Documentary Credit	31C - Date of Issue	40E - Applicable Rules
001041 WELLS FARGO	IRREVOCABLE	May 5, 2021	UCP LATEST VERSION
Date of Expiry	31D - Place of Expiry	51A - Applicant Bank	Applicant
Dec 30, 2021	Chennai		001044 GOODCARE PLC
Beneficiary	32B - Currency Code, Amount	39A - Percentage Credit Amount Tolerance	Limits/Collateral Required
001043 MARKS AND SPENCER	GBP £100,000.00	/	<input checked="" type="checkbox"/>
39C - Additional Amount Covered	Amount In Local Currency	Back to Back LC	
	GBP £100,000.00	<input type="radio"/>	


[Audit](#)
[Reject](#)
[Refer](#)
[Hold](#)
[Cancel](#)
[Save & Close](#)
[Back](#)
[Next](#)


## LC Details


The fields listed under this section are same as the fields listed under the [LC Details](#) section in [Registration](#). Refer to [LC Details](#) for more information of the fields. During Registration, if user has not captured input, then user can capture the details in this section.

**LC Details**

Revolving ☐

Advising Bank: 001041 

Date of Expiry: Dec 30, 2021 

Beneficiary: 001043 

39C - Additional Amount Covered

LC Type: Slight


40A - Form of Documentary Credit: IRREVOCABLE

31D - Place of Expiry: Chennai

32B - Currency Code, Amount: GBP £100,000.00

Amount In Local Currency: GBP £100,000.00

Product Code: IRLJ

31C - Date of Issue: May 5, 2021 


51A - Applicant Bank

39A - Percentage Credit Amount Tolerance: /

Back to Back LC: ☐

Product Description: Import Non Revolving Sight


40E - Applicable Rules: UCP LATEST VERSION

Applicant: 001044 

Limits/Collateral Required: ☒

**Audit** **Reject** **Refer** **Hold** **Cancel** **Save & Close** **Back** **Next**

Following are the fields which can be amended apart from the fields carried over from [LC Details of Registration](#). Provide the details for the amendable fields based on the description in the following table:

Field	Description	Sample Values
Applicable Rules	This field displays the rules of the selected LC and user can amend if required.	
Date of Expiry	This field displays the expiry date of the selected LC. and user can amend if required.	
Place of Expiry	This field displays the place of expiry of the selected LC and user can amend if required.	
Beneficiary	<p>This field displays the beneficiary details of the selected LC and user can amend if required.</p>  <p><b>Note</b></p> <p>If the user amend this field and the selected beneficiary/ party is blacklisted the system displays a warning message</p>	
Currency Code, Amount	This field displays the value of LC along with the currency details of the selected LC and user can amend if required.	
Percentage Credit Amount Tolerance	This field displays the percentage credit amount tolerance details of the selected LC and user can amend if required.	

Field	Description	Sample Values
Beneficiary Consent	<p><b>Toggle on:</b> Beneficiary consent required for the amendment made to the fields. Toggle must be on if amendments are made to the following fields:</p> <ul style="list-style-type: none"> <li>• Beneficiary</li> <li>• Documentary Credit Amount</li> <li>• Expiry Date</li> <li>• Tolerance</li> <li>• Available By</li> <li>• Tenor</li> <li>• Mixed/Deferred Payment Detail</li> <li>• Latest Shipment Date</li> </ul> <p>Application will display warning message, if the toggle is not enabled for the amendments made to the above mentioned fields.</p> <p><b>Toggle off:</b> Switch off the toggle if beneficiary consent is not required for the amendments.</p>	
Additional Amounts Covered	This field displays the details of additional amount covered of the selected LC and user can amend if required.	

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	<p>Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p>	

Field	Description	Sample Values
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li>• <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>• <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Overrides	Click to view overrides, if any.	
View LC	Enables user to view the details of the LC.	
Signature	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures.</p>	
Request Clarification	User should be able to specify the clarification details for requests received online.	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Cancel	Cancel the Scrutiny stage inputs.	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	

## Availability Shipment

User must verify/ Input/Update Availability, Shipment and Goods details of an Import LC request for the different fields under the respective data segments.

## Availability Details

A Scrutiny user scrutinize the Availability & Shipment request for Islamic Import LC Amendment.

Import LC Amendment Islamic - Scrutiny : Application No: PK2IILM000007212

Screen ( 2 / 7 )

**Availability Shipment**

**Availability Details**

41a-Available with \*  
PKBANK71XXX

41a-Available By \*  
BY NEGOTIATION

42C-Drafts At

Drawee

Tenor

**Shipment Details**

43P-Partial Shipments  
NOT ALLOWED

43T-Transshipment  
NOT ALLOWED

44A-Place of Taking in Charge  
Chennai

44E-Port of Loading  
Chennai

44F-Port of Discharge  
London

44B-Place of Final Destination  
London

44C-Latest Date of Shipment  
Dec 27, 2021

44D-Shipment Period

**45A Description of Goods and/or Services**

INCO Terms  
DAF

INCO Terms Description  
Delivered At Frontier (named place)

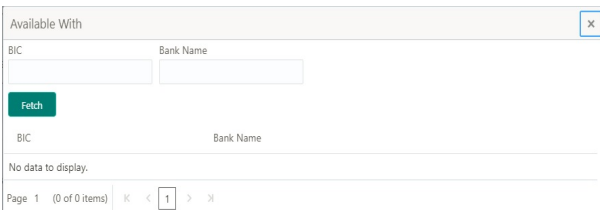

Goods Code	Goods Type	Goods Description	No of Units	Price per Unit	Total Amount	Action
MACHINE1	G	machine1				

**Audit**

Reject Refer Hold Cancel Save & Close Back Next


As part of amendment, user can change the values available in the fields based on the description in the following table:





Field	Description	Sample Values
Available With	<p>This field identifies the bank with which the credit is available of the issued LC.</p> <p>User must capture the bank details or any free text.</p> <ul style="list-style-type: none"> <li>If the LC is restricted to any particular bank,, search the bank with SWIFT code (BIC) or Bank Name.</li> </ul> <div> <div>Available With</div> <div> <div>BIC</div> <div>Bank Name</div> </div> <div>Fetch</div> <div> <div>BIC</div> <div>Bank Name</div> </div> <div>No data to display.</div> <div>Page 1 (0 of 0 items) 1</div> </div> <p>On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address gets defaulted.</p> <ul style="list-style-type: none"> <li>If the LC is not restricted to any bank, provide free text - (YOURSELVES, WITH ANY BANK etc.).</li> </ul>	


Field	Description	Sample Values
Available By	<p>This field displays the value of 'Available By' as per the issued LC. Choose one of the following values from drop down, if required.</p> <ul style="list-style-type: none"> <li>• BY ACCEPTANCE</li> <li>• BY DEF PAYMENT</li> <li>• BY MIXED PAYMENT</li> <li>• BY NEGOTIATION</li> <li>• BY PAYMENT</li> </ul> <p>Validation:</p> <p>1) If <b>By Mixed Payment</b> option is selected, there must be a value in tag 42M- Mixed payment</p> <p>2) If <b>By deferred payment</b> is selected, there must be a value in tag 42P- Deferred payment</p> <p>3) If <b>By payment</b> is selected, payment at sight is applicable. It must be applicable for Sight Type of product only.</p>	
Drafts At	This field displays the details of tenor of drafts to be drawn under the documentary credit as per the issued LC.	
Drawee	<p>This field displays the Drawee value as per the issued LC.</p> <p>This field will have value only if 'Drafts at' field has values.</p> <p>Select the Drawee bank (Advising bank or Confirming bank).</p> <ul style="list-style-type: none"> <li>• Search the bank with SWIFT code (BIC) or Bank Name.</li> </ul>  <p>On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted.</p> <div>  <p><b>Note</b></p> <p>This field is mandatory if value is provided at <b>Drafts At</b> field.</p> </div>	
Drawee Type	This field holds the value of Drawee Type as per the issued LC and can be amended if required.	
Tenor	This field displays the value of Tenor as per the issued LC and can be amended if required.	

## Shipment Details

As part of amendment, user can change the values available in the fields based on the description in the following table:

Field	Description	Sample Values
Partial Shipments	<p>This field displays the value of Partial Shipments as per the issued LC and can be amended if required.</p> <p>This field specifies whether or not partial shipments are allowed under the documentary credit.</p> <p>Select the appropriate value from the drop down. Available values are:</p> <ul style="list-style-type: none"> <li>• ALLOWED</li> <li>• CONDITIONAL</li> <li>• NOT ALLOWED</li> </ul>	
Transshipment	<p>This field displays the value of Transshipments as per the issued LC and can be amended if required.</p> <p>This field specifies whether or not transshipment is allowed under the documentary credit.</p> <p>Select the appropriate value from the drop down. Available values are:</p> <ul style="list-style-type: none"> <li>• ALLOWED</li> <li>• CONDITIONAL</li> <li>• NOT ALLOWED</li> </ul>	
Place Of Taking In Charge	<p>This field displays the value of place of taking in charge as per the issued LC and can be amended if required.</p> <p>This field specifies the place of taking in charge (in case of a multi-modal transport document), the place of receipt (in case of a road, rail or inland waterway transport document or a courier or expedited delivery service document), the place of dispatch or the place of shipment to be indicated on the transport document.</p> <div data-bbox="683 1615 746 1697">  <p><b>Note</b></p> </div> <p>This field is alternate to <b>Port Of Loading</b>. Any of these fields must have value and if both the fields has values, application will display an error message.</p>	

Field	Description	Sample Values
Port Of Loading	<p>This field displays the value of port of loading as per the issued LC and can be amended if required.</p> <p>This field specifies the port of discharge or airport of destination to be indicated on the transport document.</p>  <p><b>Note</b> This field is alternate to <b>Place Of Taking In Charge</b>. Any of these fields must have value and if both the fields has values, application will display an error message.</p>	
Port Of Discharge	<p>This field displays the value of port of discharge as per the issued LC and can be amended if required.</p> <p>This field specifies the port of discharge or airport of destination to be indicated on the transport document.</p>  <p><b>Note</b> This field is alternate to <b>Place Of Final Destination</b>. Any of these fields must have value and if both the fields has values, application will display an error message.</p>	
Place Of Final Destination	<p>This field displays the value of Place of Final Destination as per the issued LC and can be amended if required.</p> <p>This field specifies the final destination or place of delivery to be indicated on the transport document.</p>  <p><b>Note</b> This field is alternate to <b>Port Of Discharge</b>. Any of these fields must have value and if both the fields has values, application will display an error message.</p>	
Latest Date Of Shipment	<p>This field displays the value of Latest Date of Shipment as per the issued LC and can be amended if required.</p>  <p><b>Note</b> This field is alternate to <b>Shipment Period</b>. Latest date of shipment or shipment period must have value and if both the fields has values, application will display an error message.</p>	

Field	Description	Sample Values
Shipment Period	<p>This field displays the value of shipment period as per the issued LC and can be amended if required.</p>  <p><b>Note</b> This field is alternate to <b>Latest Date Of Shipment</b>. Latest date of shipment or shipment period must have value and if both the fields has values, application will display an error message.</p>	

## Description Of Goods And Or Services

This field contains a description of the goods and/or services of the issued LC and can be amended if required. Provide the Shipment Details based on the description in the following table:

Field	Description	Sample Values
INCO Terms	Select the appropriate INCO terms.	
+ Icon	Click + icon to add goods details.	
- Icon	Click - icon to remove goods details.	
Goods Code	Click look up icon to select the goods code. Once you select goods code, value will populate in Goods Type and Goods Description.	
Goods Type	The goods type is auto populated depending on selected goods code.	
Goods Description	The goods description is auto populated depending on selected goods code.	
No of Units	Enter the number of units being imported or exported.	
Price per Unit	Enter the value for price per unit.	
Total Amount	<p>System to calculate the total price</p> <p>In case of online request, the system should populate the total amount from incoming request.</p> <p>System should validate that the total amount is equal to the value of the transaction (LC/ Collection).</p>	

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents.	

Field	Description	Sample Values
Remarks	<p>Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p>	
Overrides	Click to view overrides, if any.	
View LC	Enables user to view the details of the LC.	
Request Clarification	User should be able to specify the clarification details for requests received online.	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Cancel	Cancel the Import LC amendment Scrutiny stage inputs.	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>	


Field	Description	Sample Values
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

## Payment Details

A Scrutiny user, can enter the Payment data segment details of an Islamic Import LC Amendment.

As part of amendment, user can change the values available in the fields based on the description in the following table:

Field	Description	Sample Values
Spl Paymt Cond - Beneficiary	This field displays the value of Spl Paymt Cond - Beneficiary as per the issued LC and can be amended if required. If any special payment condition has to be provided to beneficiary, the details for the same must be captured in this field.	
Spl Paymt Cond - Rec Bank	This field displays the value of Spl Paymt Cond - Rec Bank as per the issued LC and can be amended if required. If any special payment condition has to be provided to receiving bank, the details for the same must be captured in this field. This field specifies special payment conditions applicable to the receiving bank without disclosure to the beneficiary, for example, post-financing request/ conditions for receiving bank only.	

Field	Description	Sample Values
Period for Presentation	<p>This field displays the value of Period for Presentation as per the issued LC and can be amended if required.</p> <p>If the period of presentation is based on any event other than shipment, then you can capture the event name in text along with the number of days in number.</p>	
Confirmation Instructions	<p>This field displays the value of Confirmation Instructions as per the issued LC and can be amended if required.</p> <p>Select the confirmation instruction for the LC from the available LOV values – CONFIRM, MAY ADD, WITHOUT.</p> <p>Applicable only if field 49 - confirmation instruction is 'confirm' or 'may add'. You can search through LOV, Party type with banks should only be displayed in LOV. The system must display the following:</p> <ul style="list-style-type: none"> <li>a) SWIFT code (if available),</li> <li>b) Name and address of the bank</li> </ul> <p>On selection of the record, if SWIFT code is available then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted.</p>	
Requested Confirmation Party	<p>This field displays the value of Requested Confirmation Party as per the issued LC and can be amended if required.</p>	
Reimbursing Bank	<p>This field displays the value of Reimbursing Bank as per the issued LC and can be amended if required.</p> <p>Search through LOV. Party type with banks will be displayed in LOV.</p> <ul style="list-style-type: none"> <li>• SWIFT code (if available),</li> <li>• Name and address of the bank</li> </ul> <p>On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted.</p> <div style="text-align: center;">  <p><b>Note</b></p> </div> <p>In case the selected Bank is not RMA Compliant, the system prompts me to use a different advising bank or use non SWIFT Media to transmit the LC and displays error message “RMA arrangement not available, please change the bank or use MAIL Medium”.</p>	

Field	Description	Sample Values
Advise Through Bank	<p>Online Channel – User can update the details received.</p> <p>Non-Online Channel -</p> <p>Search through LOV. Party type with banks must be displayed in LOV.</p> <ul style="list-style-type: none"> <li>• SWIFT code (if available)</li> <li>• Name and address of the bank</li> </ul> <p>On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted.</p>	
Instructions to P/A/N Bank	This field displays the value of Instructions to P/A/N Bank as per the issued LC and can be amended if required.	
Sender to Receiver Information	This field displays the value of Sender to Receiver Information (FFT Details) as per the issued LC and can be amended if required.	
Charges	Charge Description as maintained in FFT will be available. User can modify the description.	
Amendment Charges Payable by	This field specifies the party who bear the amendment charges.	

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents.	
Remarks	<p>Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p>	
Overrides	Click to view overrides, if any.	
View LC	Enables user to view the details of the LC.	
Request Clarification	User should be able to specify the clarification details for requests received online.	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	
Cancel	Cancel the Scrutiny stage inputs.	

Field	Description	Sample Values
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	

# Amendment Details

This section lists the amendments made to the issued LC. A scrutiny user can verify the Amendment data segment details of an Import LC Amendment Islamic.

Import LC Amendment Islamic - Scrutiny :: Application No: PK2IILM000007212

Main Details

Availability Shipment

Payment Details

Amendment Details

Additional Fields

Additional Details

Summary

Amendment Details

LC Amendment Details

Field Name	Amended Value	Value as per LC
No data to display.		
Page 1 (0 of 0 items)		

Party Details

Party Type	Party ID	Customer Ref No	Address1	Address2	Country	Status
No data to display.						
Page 1 (0 of 0 items)						

Goods Details

Goods Code	Goods Type	Goods Description	Status
No data to display.			
Page 1 (0 of 0 items)			

Audit

Reject

Refer

Hold

Cancel

Save & Close

Back

Next

Screen ( 4 / 7 )

# Additional Fields

Banks can configure these additional fields during implementation.

Import LC Amendment Islamic - Scrutiny :: Application No: PK2IILM000007212

Main Details

Availability Shipment

Payment Details

Amendment Details

Additional Fields

Additional Details

Summary

Additional Fields

Additional Fields

No Additional fields configured!

Audit

Reject

Refer

Hold

Cancel

Save & Close

Back

Next

Screen ( 5 / 7 )

# Additional Details

A Scrutiny user can verify/input/update the additional details Data Segment of the Islamic Import LC Amend request.

As part of Additional details section, Islamic LC Amend may have impact on the Limits, Collaterals and Charge section.

**Import LC Amendment Islamic - Scrutiny :: Application No: PK2IILM000007212**

Screen ( 6 / 7 )

Additional Details	
<b>Limits and Collaterals</b> Limit Currency : <b>GBP</b> Limit Contribution : <b>100000</b> Limit Status : Collateral Currency : <b>GBP</b> Collateral : <b>8000</b> Contribution : Collateral Status :	<b>Commission, Charges and...</b> Charge : <b>GBP 100.00</b> Commission : <b>EUR 150.00</b> Tax : <b>GBP 8005.17</b> Block Status :
<b>FX Linkage</b> FX Reference Number : Contract Currency : Contract Amount :	<b>Revolving Details</b> Revolving : <b>No</b> Revolving In : Revolving Frequency :
<b>Insurance Details</b> Company : Insured Amount : Expiry Date :	

## Revolving Details

Revolving

Revolving

No

Next Reinstatement Date

mm/dd/yy

Revolving In

Cummulative

Revolving Frequency

Automatic Reinstatement

Revolve Units

Save & Close

Cancel

Field	Description	Sample Values
Revolving	Read only field. Displays the LC is revolving or non-revolving.	
Revolving In	Read only field. The LC can revolve with Time or Units.	
Revolving Frequency	Read only field. This field captures the frequency in days and months by which the LC revolves.	
Revolving Units	Read only field. This field captures the units by which the LC revolves.	
Next Reinstatement Date	Read only field. This field defaults the date of next reinstatement for the LC based on the revolving frequency selected.	
Cumulative	Read only field. This field is a toggle to indicate if the LC value has to be cumulative or not on reinstatement.	
Automatic Reinstatement	Read only field. This field enables to have automatic reinstatement on the reinstatement day without manual intervention.	

## Limits & Collateral

Limit availability needs to be checked if amendment involves increase in amount or tolerance or both.

On Approval, system should not release the Earmarking against each limit line and system should handoff the "Limit Earmark Reference Number" to the back office. On successful handoff, back office will make use of these "Limit Earmark Reference Number" to release the Limit Earmark done in the mid office (OBTFPM) and should Earmark the limit from the Back office.

In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office.  
Provide the Limit Details based on the description in the following table:

Limits and Collaterals

Limit Details

	Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message	Edit	Delete
	001044		100	GBP	£100,000.00			001044	

Cash Collateral Details

Collateral Percentage \*

Collateral Currency and amount

Exchange Rate

90.0

GBP

£1,000.00

1

Sequence Number	Settlement Account Currency	Settlement Account	Exchange Rate	Collateral %	Contribution Amount	Contribution Amount in Account Currency	Account Balance Check Response	Response
1	GBP	PK20010440017		45	£450.00		VN	

Deposit Linkage Details

	Deposit Account	Deposit Currency	Deposit Maturity Date	Transaction Currency	Deposit Available In Transaction Currency	Linkage Amount(Transaction Currency)	Edit	Delete
--	-----------------	------------------	-----------------------	----------------------	---	--------------------------------------	------	--------

No data to display.

Save & Close

Cancel

Limit Details

Customer Id

001044

Line ID \*

001044\_GB

Contribution % \*

100.0

Limits Description

Contribution Currency

GBP

Contribution Amount \*

£9,000.00

Limit Currency

GBP

Limit Available Amount

£9,99,999.00

Limit Check Response

Available

Response Message

The Earmark can be performed as the f

Expiry Date


24-Dec-2020

Verify

Save & Close

Close

Field	Description	Sample Values
<div> <div>+</div> </div>	Click plus icon to add new Limit Details.	
Limit Details		
Click + plus icon to add new limit details.		
Below fields are displayed on the Limit Details pop-up screen, if the user clicks plus icon.		
Customer ID	Applicant's/Applicant Bank customer ID will get defaulted.	

Field	Description	Sample Values
Line ID	<p>User can choose from the various lines available and mapped under the customer id gets listed in the drop down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount.</p> <div>  <p><b>Note</b></p> <p>User can also select expired Line ID from the lookup and on clicking the verify button, system should default "The Earmarking cannot be performed as the Line ID is Expired" in the "Response Message" field.</p> </div>	
Contribution%	<p>System will default this to 100%. User can modify, if contribution is more than 100%. System will display an alert message, if modified.</p> <p>Once contribution % is provided, system will default the amount.</p> <p>System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message.</p>	
Contribution Currency	The LC currency will be defaulted in this field.	
Contribution Amount	Contribution amount will default based on the contribution %.	
Limit Currency	Limit Currency will be defaulted in this field.	
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount.	
Limit Check Response	Response can be 'Success' or 'Limit not Available'.	
Response Message	Detailed Response message.	
Expiry Date	This field displays the date up to which the Line is valid	

Collateral Details

Total Collateral Amount \*

£1,000.00

Sequence Number

1.0

Collateral Contribution Amount \*

£450.00

Settlement Account Currency

GBP

Contribution Amount in Account Currency

Response

VN

Verify

Collateral Amount to be Collected \*

£1,000.00

Collateral Split % \*

45.0

^
v

Settlement Account \*

PK20010440017

Exchange Rate

^
v

Account Available Amount

Response Message

Save & Close
Cancel

Collateral availability needs to be checked if amendment involves increase in amount or tolerance. Provide the collateral details based on the description provided in the following table:


Field	Description	Sample Values
Cash Collateral Details		
Collateral Percentage	Specify the percentage of collateral to be linked to this transaction.	
Collateral Currency and amount	System populates the contract currency as collateral currency by default. User can modify the collateral Currency and amount.	
Exchange Rate	System populates the exchange rate maintained. User can modify the collateral Currency and amount. System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified.	

Click + plus icon to add new collateral details.

Below fields are displayed on the Collateral Details pop-up screen, if the user clicks plus icon.

Total Collateral Amount	Read only field. This field displays the total collateral amount provided by the user.	
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Field	Description	Sample Values
Collateral Amount to be Collected	Read only field. This field displays the collateral amount yet to be collected as part of the collateral split.	
Sequence Number	Read only field. The sequence number is auto populated with the value, generated by the system.	
Collateral Split %	Specify the collateral split% to be collected against the selected settlement account.	
Collateral Contribution Amount	Collateral contribution amount will get defaulted in this field.  The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.	
Settlement Account	Select the settlement account for the collateral.	
Settlement Account Currency	Settlement Account Currency will be auto-populated based on the Settlement Account selection.	
Exchange Rate	Read only field.  This field displays the exchange rate, if the settlement account currency is different from the collateral currency.	
Contribution Amount in Account Currency	Read only field.  This field displays the contribution amount in the settlement account currency as defaulted by the system.	
Account Available Amount	Read only field.  Account available amount will be auto-populated based on the Settlement Account selection.	
Response	Response can be 'Success' or 'Amount not Available'.  System populates the response on clicking the <b>Verify</b> button.	
Response Message	Detailed Response message.  System populates the response on clicking the <b>Verify</b> button.	
Verify	Click to verify the account balance of the Settlement Account.	
Save & Close	Click to save and close the record.	

Field	Description	Sample Values
Cancel	Click to cancel the entry.	
Below fields appear in the <b>Cash Collateral Details</b> grid along with the above fields.		
Collateral %	<p>User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message.</p> <p>System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product.</p> <p>User can modify the defaulted collateral percentage, in which case system should display an override message "Defaulted Collateral Percentage modified".</p>	
Contribution Amount	<p>This field displays the collateral contribution amount.</p> <p>The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.</p>	
Account Balance Check Response	This field displays the account balance check response.	
Delete Icon 	Click minus icon to remove any existing Collateral Details.	
Edit Link	Click edit link to edit any existing Collateral Details.	

### Commission, Charges and Taxes Details

On click of 'Next' in the previous screen, system will auto populate the charges, commission and tax components mapped to the product from the back office system.

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Commission, Charges and taxes

Recalculate
Redefault

Commission Details

Event

Event Description

Component	Rate	Modified Rate	Currency	Amount	Modified	Defer	Waive	Charge Party	Settlement Account
No data to display.									
Page 1 (0 of 0 items) < 1 > X									

Charge Details

Component	Tag currency	Tag Amount	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
No data to display.										
Page 1 (0 of 0 items) < 1 > X										

Tax Details

Component	Type	Value Date	Currency	Amount	Billing	Defer	Settlement Account
No data to display.							

Save & Close
Cancel

Provide the Commission Details based on the description provided in the following table:

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	
Event Description	Read only field. This field displays the description of the event.	
Component	Select the commission component	
Rate	Defaults from product. User can change the rate, if required.  The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate.  If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
Modified Rate	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Currency	Defaults the currency in which the commission needs to be collected	

Field	Description	Sample Values
Amount	<p>An amount that is maintained under the product code defaults in this field.</p> <p>The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in OBTFPM.</p> <p>If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.</p>	
Modified	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	
Waive	<p>Select the check box to waive charges/ commission.</p> <p>Based on the customer maintenance, the charges/commission can be marked for Billing or Defer.</p> <p>If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.</p>	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary	
Settlement Account	Details of the Settlement Account.	

## Charge Details

Field	Description	Sample Values
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Defaults the tag amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified Amount	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	
Billing	<p>If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can not select/de-select the check box if it is de-selected by default.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Defer	<p>If charges have to be deferred and collected at any future step, this check box has to be selected.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.</p>	
Waive	<p>If charges have to be waived, this check box has to be selected.</p> <p>Based on the customer maintenance, the charges should be marked for Billing or for Defer.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	

Field	Description	Sample Values
Settlement Account	Details of the settlement account.	

## Tax Details

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

Tax details are defaulted from the back-end system.

Field	Description	Sample Values
Component	Tax Component type	
Type	Type of tax Component.	
Value Date	This field displays the value date of tax component.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Billing	If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.  This field is disabled, if 'Defer' toggle is enabled.	
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled.  The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Settlement Account	Details of the settlement account.	

## FX Linkage Details

Following are the conditions of FX Linkage:

- If any LC Amendment is pending for beneficiary confirmation with FX changes, then the system, will not allow another amendment on this contract further.
- FX request will be processed in external system, only after successful amendment confirmation has been done.
- Sum of Linked amount will not be greater than LC contract amount. Linked amount will not be greater than the available amount for linkage.
- Delink of linked FX or Reduction of linked amount from the LC is allowed if the same is not attached to any Bills.

- Reduction of LC contract amount with FX, through LC amendment will be restricted, when the contract amount goes below the FX linkage amount. User will be intimated with the message.

FX Linkage

FX Linkage

FX Reference Number	Bought Currency	SOLD Currency	Available Contract Amount	Rate	Linked Amount	Current Utilized Amount	Total Utilized Amount	FX Expiry Date	Action
PK2FXF1200762005	GBP		\$1,000.00	1.33	\$1,000.00			Jun 28, 2020	

Page 1 of 1 (1 of 1 items)

Average FX Rate  
0

Save & Close Cancel

FX Linkage

FX Reference Number \*

PK2FXF1200762005

Currency

GBP

Contract Amount

GBP £1,000.00

Available Contract Amount

GBP £1,000.00

Linkage Amount \*

GBP £1,000.00

Rate

1.33

FX Expiry Date

Jun 28, 2020

Amount in Contract Currency

1000

FX Delivery Period From

FX Delivery Period To

Save & Close Close

Field	Description	Sample Values
FX Reference Number	<p>Select the FX contract reference number from the LOV. On selection, system defaults date, available amount, bought currency, sold currency and rate.</p> <p>Forward FX Linkage available for selection at bill would be as follows,</p> <ul style="list-style-type: none"> <li>Counterparty of the FX contract should be the counterparty of the Bill contract.</li> <li>Active Forward FX transactions authorized not marked for auto liquidation.</li> <li>Bill contract currency should be BOT currency of the FX transaction in case of an export Bill or the SOLD currency in case of an Import Bill.</li> </ul>	

Field	Description	Sample Values
Currency	System defaults from the linked FX contract.	
Bought Currency	System defaults from the linked FX contract.	
SOLD Currency	System defaults from the linked FX contract.	
Available Contract Amount	Available amount will be FX contract amount minus the linked amount.  Available amount for linkage should be greater than Zero.	
Rate	Exchange rate is defaulted from the linked FX contract.	
Linked Amount	Sum of Linked amount will not be greater than Bill/LC contract amount.  Linked amount will not be greater than the available amount for linkage.	
Current Utilized Amount	Current Utilized amount displays the liquidated / purchased /discounted /negotiated amount of BC contract. It cannot go beyond the linked FX amount.	
Total Utilized Amount	Total Utilized amount displays the total amount utilized against the corresponding linked FX. On query, both Utilized and Total Utilized amount holds the amount of latest version.	
FX Expiry Date	System defaults expiry date from the linked FX contract.	
FX Delivery Period From	Displays the FX delivery start date.	
FX Delivery Period To	Displays the FX delivery end date.	
Action	Click the Edit icon to edit the FX linkage details.  Click the Delete icon to delete the FX linkage details.	
Average FX Rate	Multiple forward FX contract could be linked, and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. This will be populated in the Average FX Rate.	

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents.	

Field	Description	Sample Values
Remarks	<p>Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p>	
Overrides	Click to view overrides, if any.	
View LC	Enables user to view the details of the LC.	
Request Clarification	User should be able to specify the clarification details for requests received online.	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	
Cancel	Cancel the Scrutiny stage inputs.	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>	

Field	Description	Sample Values
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

## Summary

User can review the summary of details updated in Scrutiny Islamic Import LC Amendment request.

Log in to Oracle Banking Trade Finance Process Management (OBTfPM) system to see the Summary tiles. The tiles must display a list of important fields with values. User can drill down from Summary Tiles into respective data segments.

Import LC Amendment Islamic - Scrutiny :: Application No: PK2IILM000007212

Summary

Main Details	Availability Shipment	Payment Details	Amendment Details
Form of LC : <b>IRREVOCABLE</b> Submission Mode : <b>Desk</b> Date of Issue : <b>2021-05-05</b> Date of Expiry : <b>2021-12-30</b> Place of Expiry : <b>Chennai</b>	Available With : <b>PKBANK71XXX</b> Available By : <b>NEGOTIATION</b> Port of Loading : <b>Chennai</b> Port of Discharge : <b>London</b>	Period of Present. : Confirmation Instr. : <b>WITHOUT</b>	Click here to view : Amended/Updated Details
Additional Fields	Limits and Collaterals	Commission, Charges and Taxes	Revolving Details
Click here to view : Additional fields	Limit Currency : <b>GBP</b> Limit Contribution : <b>100000</b> Limit Status : <b>Not Verified</b> Collateral Currency : <b>GBP</b> Collateral Contr. : <b>8000</b> Collateral Status : <b>Not Verified</b>	Charge : <b>GBP100</b> Commission : <b>EUR150</b> Tax : <b>GBP8005.17</b> Block Status : <b>Not Initia</b>	Revolving : <b>N</b> Revolving In : Revolving Frequency :

Audit

Reject Refer Hold Cancel Save & Close Back Next Submit

Screen ( 7 / 7 )

### Tiles Displayed in Summary

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required.
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Amendment Details - User can view the amended details of issued LC.
- Additional Fields - User can view and modify the details of additional fields, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Revolving Details - User can view revolving details on revolving LC, if applicable.

### Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents.	

Field	Description	Sample Values
Remarks	<p>Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p>	
Overrides	Click to view overrides, if any.	
View LC	Enables user to view the details of the LC.	
Submit	<p>Task will get moved to next logical stage of Import LC Amendment.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	
Cancel	Cancel the Scrutiny stage inputs.	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul>	

## Data Enrichment

As part of Data Enrichment, user can enter/update basic details of the incoming request for new Islamic Import LC Amend.



### Note

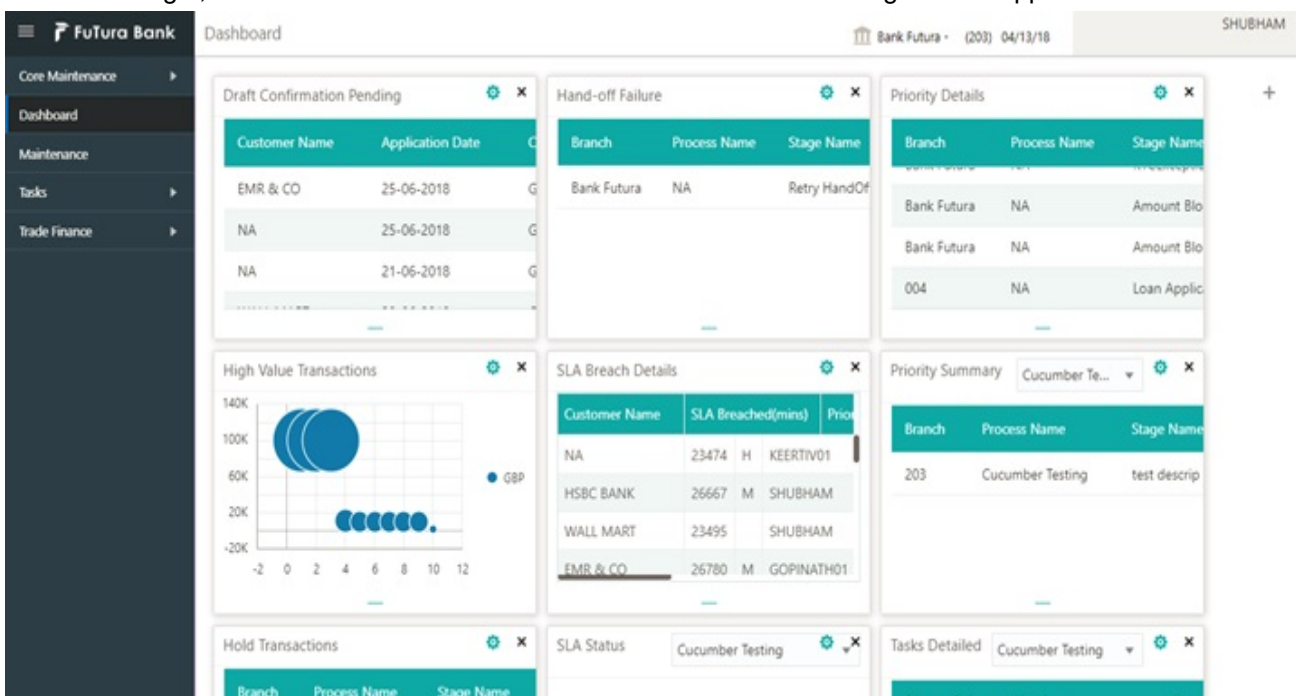
For expired line of limits, the task moves to “Limit Exception” stage under Free Tasks, on ‘Submit’ of DE Stage with the reason for exception as “Limit Expired”.

Do the following steps to acquire a task at Data Enrichment stage:

1. Using the entitled login credentials for Data Enrichment stage, login to the OBTFPM application.

The image shows the login interface for FuTura Bank. It features a dark blue header with the bank's logo and name. Below the header, the text "Sign In" is displayed. There are two input fields: "User Name \*" with the value "SRIDHAR" and "Password \*" with masked characters. A green "Sign In" button is located at the bottom.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



### 3. Click **Tasks > Free Tasks**.

Free Tasks									
Menu Item Search...									
<span>Refresh</span> <span>Acquire</span> <span>Flow Diagram</span>									
	Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
<input type="checkbox"/>	Acquire & E...	Medium	Import LC Amendment Islamic	PK2IILM000007212	PK2IILM000007212	DataEnrichment	21-12-16	PK2	001044
<input type="checkbox"/>	Acquire & E...	Medium	Export LC Drawing	PK2ELCD000007214	PK2ELCD000007214	Handoff RetryTask	21-12-16	PK2	000153
<input type="checkbox"/>	Acquire & E...	---	Export Documentary Collecti...	PK2EDCB000007208	PK2EDCB000007208	Approval Task Level 1	21-12-16	PK2	001044
<input type="checkbox"/>	Acquire & E...	Medium	Guarantee Advise	PK2GTEA000007206	PK2GTEA000007206	Scrutiny	21-12-16	PK2	
<input type="checkbox"/>	Acquire & E...	Medium	Export Documentary Collecti...	PK2EDCU000007201	PK2EDCU000007201	DataEnrichment	21-12-16	PK2	001044
<input type="checkbox"/>	Acquire & E...	Medium	Export Documentary Collecti...	PK2EDCU000007199	PK2EDCU000007199	DataEnrichment	21-12-16	PK2	001044
<input type="checkbox"/>	Acquire & E...	Medium	Export Documentary Collecti...	PK2EDCU000007198	PK2EDCU000007198	DataEnrichment	21-12-16	PK2	001044
<input type="checkbox"/>	Acquire & E...	Medium	Export Documentary Collecti...	PK2EDCU000007197	PK2EDCU000007197	DataEnrichment	21-12-16	PK2	001044
<input type="checkbox"/>	Acquire & E...	Medium	Guarantee Advise	PK2GTEA000007195	PK2GTEA000007195	Scrutiny	21-12-16	PK2	
<input type="checkbox"/>	Acquire & E...	Medium	Guarantee Advise	PK2GTEA000007193	PK2GTEA000007193	Registration	21-12-16	PK2	
<input type="checkbox"/>	Acquire & E...	---	Export LC Transfer	PK2ELCT000007192	PK2ELCT000007192	Scrutiny	21-12-16	PK2	001044
<input type="checkbox"/>	Acquire & E...	---	Export LC Transfer	PK2ELCT000007191	PK2ELCT000007191	Scrutiny	21-12-16	PK2	001044
<input type="checkbox"/>	Acquire & E...	---	Export Documentary Collecti...	PK2EDCB000007189	PK2EDCB000007189	DataEnrichment	21-12-16	PK2	001044

### 4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks** tab.

Free Tasks									
Menu Item Search...									
<span>Refresh</span> <span>Acquire</span> <span>Flow Diagram</span>									
	Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
<input checked="" type="checkbox"/>	Acquire & E...	Medium	Import LC Amendment Islamic	PK2IILM000007212	PK2IILM000007212	Scrutiny	21-12-16	PK2	001044
<input type="checkbox"/>	Acquire & E...	Medium	Export Documentary Collection Bo...	PK2EDCB000007215	PK2EDCB000007215	Registration	21-12-16	PK2	001043
<input type="checkbox"/>	Acquire & E...	---	Export Documentary Collection Bo...	PK2EDCB000007208	PK2EDCB000007208	Approval Task Level 1	21-12-16	PK2	001044
<input type="checkbox"/>	Acquire & E...	Medium	Guarantee Advise	PK2GTEA000007206	PK2GTEA000007206	Scrutiny	21-12-16	PK2	
<input type="checkbox"/>	Acquire & E...	Medium	Export Documentary Collection Bo...	PK2EDCU000007201	PK2EDCU000007201	DataEnrichment	21-12-16	PK2	001044
<input type="checkbox"/>	Acquire & E...	Medium	Export Documentary Collection Bo...	PK2EDCU000007199	PK2EDCU000007199	DataEnrichment	21-12-16	PK2	
<input type="checkbox"/>	Acquire & E...	Medium	Export Documentary Collection Bo...	PK2EDCU000007198	PK2EDCU000007198	DataEnrichment	21-12-16	PK2	001044
<input type="checkbox"/>	Acquire & E...	Medium	Export Documentary Collection Bo...	PK2EDCU000007197	PK2EDCU000007197	DataEnrichment	21-12-16	PK2	001044
<input type="checkbox"/>	Acquire & E...	Medium	Guarantee Advise	PK2GTEA000007195	PK2GTEA000007195	Scrutiny	21-12-16	PK2	
<input type="checkbox"/>	Acquire & E...	Medium	Guarantee Advise	PK2GTEA000007193	PK2GTEA000007193	Registration	21-12-16	PK2	
<input type="checkbox"/>	Acquire & E...	---	Export LC Transfer	PK2ELCT000007192	PK2ELCT000007192	Scrutiny	21-12-16	PK2	001044
<input type="checkbox"/>	Acquire & E...	---	Export LC Transfer	PK2ELCT000007191	PK2ELCT000007191	Scrutiny	21-12-16	PK2	001044
<input type="checkbox"/>	Acquire & E...	---	Export Documentary Collection Bo...	PK2EDCB000007189	PK2EDCB000007189	DataEnrichment	21-12-16	PK2	001044

### 5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for Data Enrichment stage.

My Tasks									
Menu Item Search...									
<span>Refresh</span> <span>Release</span> <span>Escalate</span> <span>Delegate</span> <span>Flow Diagram</span>									
	Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
<input checked="" type="checkbox"/>	Edit	Medium	Import LC Amendment Islamic	PK2IILM000007212	PK2IILM000007212	DataEnrichment	21-12-16	PK2	001044
<input type="checkbox"/>	Edit	Medium	Import LC Issuance Islamic	PK1IIL000007200	PK1IIL000007200	KYC Exceptional approval	21-12-16	PK2	000325
<input type="checkbox"/>	Edit	Medium	Shipping Guarantee Issuance	PK2SGTI000007183	PK2SGTI000007183	DataEnrichment	21-12-16	PK2	001044
<input type="checkbox"/>	Edit	Medium	Lodge Claim - Guarantee Issu...	PK2GTGEC000007179	PK2GTGEC000007179	Scrutiny	21-12-16	PK2	001044
<input type="checkbox"/>	Edit	Medium	Guarantee advise claim lodgi...	PK2GADC000007176	PK2GADC000007176	DataEnrichment	21-12-16	PK2	001044
<input type="checkbox"/>	Edit	Medium	Guarantee Issuance Closure	PK2GTGEC000007172	PK2GTGEC000007172	DataEnrichment	21-12-16	PK2	001044
<input type="checkbox"/>	Edit	Medium	Guarantee SBLC Issuance -CL...	PK2GISCO000007171	PK2GISCO000007171	DataEnrichment	21-12-16	PK2	001044
<input type="checkbox"/>	Edit	Medium	Guarantee Issuance Internal ...	PK2GTEI000007167	PK2GTEI000007167	DataEnrichment	21-12-16	PK2	001044
<input type="checkbox"/>	Edit	---	Guarantee Issuance Internal ...	PK2GTEI000007163	PK2GTEI000007163	Registration	21-12-15	PK2	001044
<input type="checkbox"/>	Edit	Medium	Guarantee Issuance Amendme...	PK2GTEI000007162	PK2GTEI000007162	DataEnrichment	21-12-15	PK2	001044
<input type="checkbox"/>	Edit	Medium	Guarantee Cancellation	PK2GTGEC000007160	PK2GTGEC000007160	DataEnrichment	21-12-15	PK2	000325
<input type="checkbox"/>	Edit	Medium	Guarantee Advise Cancellation	PK2GTAC000007159	PK2GTAC000007159	DataEnrichment	21-12-15	PK2	001044
<input type="checkbox"/>	Edit	Medium	Guarantee Advise Amendment	PK2GTAA000007158	PK2GTAA000007158	DataEnrichment	21-12-15	PK2	001044

The Data Enrichment stage has six sections as follows:

- Main Details
- Availability & Shipment
- Documents & Conditions
- Payment Details
- Amendment Details
- Additional Fields
- Additional Details
- Settlement Detils
- Summary

Let's look at the details for Data Enrichment stage. User must be able to enter/update the following fields. Some of the fields that are already having value from Scrutiny/Online channels may not be editable.

## Main Details

Refer to [Main Details](#).

## Availability & Shipment

Refer to [Availability Shipment](#).

## Documents & Conditions

User must provide details of the required documents and additional conditions (if applicable) in this section.

Import LC Amendment Islamic - DataEnrichment :: Application No: PK2IILM000007212

Screen (3 / 10)

Documents and Conditions

Code	Name	Copy	Original	Description	Action
AIRDOC	Air Way	2	1/2	Airway Bill	
INSDOC	Insurance	2		Insurance Policy/Certificate i...	
INVDOC	Invoice		1/2	Commercial invoice, duly si...	
MARDOC	Sea Way	2	1/2	COPY OF FAX/TELEX ADVISI...	
OTHERDOC	OTHERDOC			Beneficiary's declaration sta...	

Page 1 of 1 (1-5 of 5 items)

Additional Conditions

FFT Code	FFT Description	Action
71BCHARGES		
ADDCONDISS		
LCADV		
SNDRRVCVRINF2		

Page 1 of 1 (1-4 of 4 items)

Audit

Reject Refer Hold Cancel Save & Close Back Next

## Documents Required

Documents required section displays the list of documents required as per the issued LC and can be amended if required.



Insurance document is available in Documents Details section, if value in the 'INCO Term' field is not 'CFR', 'FOB', 'FCA', 'FAS' or 'EXW' in the Availability stage. If not system gives the warning message.

Online Channel - System will default the details received in the Description column. Based on the details populated, user can pick corresponding values for document code, originals and copy.

Non Online Channel - User can further edit (add or remove) the documents or document description as per requirement. Application will display an alert message, if both Bill Of lading" and 'Airway Bill' are chosen.

## Additional Conditions

Additional Conditions section displays the conditions of the issued LC and can be amended if required.

Online Channel - System will default the details received in the description column. System will parse the additional conditions required field into multiple line items based on line de-limiter (+) and shall populate each line item as a separate description. User can read the description and make any changes required to the description, also must be able to add more conditions.

Non Online Channel - User can use FFT to capture additional conditions and can edit the description populated from FFT. You should also be able to add additional FFT.

## Payment Details

Refer to [Payment Details](#).

## Amendment Details

Refer to [Amendment Details](#).

## Additional Fields

Refer to [Additional Fields](#).

## Advices

A DE user verifies the advice details Data Segment of the Islamic Import LC Amendment request.

Import LC Amendment Islamic - DataEnrichment :: Application No: PK2IILM000007212

Overrides Customer Instruction Common Group Messages Incoming Message View LC Signatures

Screen (7 / 10)

**Advices**

<b>Advice : LC_AMND_INSTR</b> Advice Name : LC_AMND_INSTR Advice Party : ABK Party Name : WELLS FARGO LA Suppress : NO Advice	<b>Advice : AMD_IMP_CR</b> Advice Name : AMD_IMP_CR Advice Party : APP Party Name : GOODCARE PLC Suppress : NO Advice	<b>Advice : LC_AM_INST_CO...</b> Advice Name : LC_AM_INST_COPY Advice Party : APP Party Name : GOODCARE PLC Suppress : NO Advice	<b>Advice : LC_CASH_COL_A...</b> Advice Name : LC_CASH_COL_ADV Advice Party : APP Party Name : GOODCARE PLC Suppress : NO Advice
<b>Advice : LC_AMD_AUTH_...</b> Advice Name : LC_AMD_AUTH_REB Advice Party : Party Name : Suppress : YES Advice	<b>Advice : PAYMENT_MESS...</b> Advice Name : PAYMENT_MESSAGE Advice Party : Party Name : Suppress : NO Advice		

Audit Reject Refer Hold Cancel Save & Close Back Next

User can also suppress the Advice, if required.

## Additional Details

As part of DE, the user can enter the basic additional details available in the Islamic LC amend. In case the request is received through online channel i will verify the details populated.

- Main Details
- Availability Shipment
- Documents and Conditions
- Payment Details
- Amendment Details
- Additional Fields
- Advices
- Additional Details**
- Settlement Details
- Summary

### Additional Details

Screen ( 8 / 10)

Limits and Collaterals	Commission,Charges and...	Revolving Details	Preview Messages
Limit Currency : <b>GBP</b> Limit Contribution : <b>1000000</b> Limit Status : Collateral Currency : <b>GBP</b> Collateral : <b>8000</b> Contribution : Collateral Status :	Charge : <b>GBP 100.00</b> Commission : <b>EUR 150.00</b> Tax : <b>GBP 8005.17</b> Block Status :	Revolving : <b>No</b> Revolving In : Revolving Frequency :	Language : Preview Advice :

Audit

Reject Refer Hold Cancel Save & Close Back Next

## Revolving Details

Revolving

Revolving

Revolving In

Revolving Frequency

Revolve Units

Next Reinstatement Date

Cummulative

Automatic Reinstatement

mm/dd/yy

✓ Save & Close

✗ Cancel

Field	Description	Sample Values
Revolving	Read only field. Displays the LC is revolving or non-revolving.	
Revolving In	Read only field. The LC can revolve with Time or Units.	
Revolving Frequency	Read only field. This field captures the frequency in days and months by which the LC revolves.	
Revolving Units	Read only field. This field captures the units by which the LC revolves.	
Next Reinstatement Date	Read only field. This field defaults the date of next reinstatement for the LC based on the revolving frequency selected.	
Cummulative	Read only field. This field is a toggle to indicate if the LC value has to be cumulative or not on reinstatement.	
Automatic Reinstatement	Read only field. This field enables to have automatic reinstatement on the reinstatement day without manual intervention.	

## Limits & Collateral

Limit availability needs to be checked if amendment involves increase in amount or tolerance or both.

On Approval, system should not release the Earmarking against each limit line and system should handoff the "Limit Earmark Reference Number" to the back office. On successful handoff, back office will make use of these "Limit Earmark Reference Number" to release the Limit Earmark done in the mid office (OBTFFPM) and should Earmark the limit from the Back office.

In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office.  
Provide the Limit Details based on the description in the following table:

Limits and Collaterals

Limit Details

	Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message	Edit	Delete
	001044		100	GBP	£100,000.00			001044	

Cash Collateral Details

Collateral Percentage \*

Collateral Currency and amount

Exchange Rate

Sequence Number	Settlement Account Currency	Settlement Account	Exchange Rate	Collateral %	Contribution Amount	Contribution Amount in Account Currency	Account Balance Check Response	Response
1	GBP	PK20010440017		45	£450.00		VN	

Deposit Linkage Details

Deposit Account	Deposit Currency	Deposit Maturity Date	Transaction Currency	Deposit Available In Transaction Currency	Linkage Amount(Transaction Currency)	Edit	Delete
-----------------	------------------	-----------------------	----------------------	---	--------------------------------------	------	--------

No data to display.

Save & Close
Cancel

Limit Details

Customer Id

Line ID \*

Contribution % \*

Limits Description

Contribution Currency

Contribution Amount \*

Limit Currency

Limit Available Amount

Limit Check Response


Response Message

Expiry Date

Verify

Save & Close
Close

Field	Description	Sample Values
<div> <div>+</div> </div>	Click plus icon to add new Limit Details.	
Limit Details Click + plus icon to add new limit details. Below fields are displayed on the Limit Details pop-up screen, if the user clicks plus icon.		
Customer ID	Applicant's/Applicant Bank customer ID will get defaulted.	

Field	Description	Sample Values
Line ID	<p>User can choose from the various lines available and mapped under the customer id gets listed in the drop down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount.</p> <div>  <p><b>Note</b></p> <p>User can also select expired Line ID from the lookup and on clicking the verify button, system should default "The Earmarking cannot be performed as the Line ID is Expired" in the "Response Message" field.</p> </div>	
Contribution%	<p>System will default this to 100%. User can modify, if contribution is more than 100%. System will display an alert message, if modified.</p> <p>Once contribution % is provided, system will default the amount.</p> <p>System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message.</p>	
Contribution Currency	The LC currency will be defaulted in this field.	
Contribution Amount	Contribution amount will default based on the contribution %.	
Limit Currency	Limit Currency will be defaulted in this field.	
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount.	
Limit Check Response	Response can be 'Success' or 'Limit not Available'.	
Response Message	Detailed Response message.	
Expiry Date	This field displays the date up to which the Line is valid	

Collateral Details

Total Collateral Amount \*

£1,000.00

Collateral Amount to be Collected \*

£1,000.00

Sequence Number

1.0

Collateral Split % \*

45.0

^
v

Collateral Contribution Amount \*

£450.00

Settlement Account \*

PK20010440017

Q

Settlement Account Currency

GBP

Exchange Rate

^
v

Contribution Amount in Account Currency

Account Available Amount

Response

VN

Response Message

Verify

Save & Close
Cancel

Collateral availability needs to be checked if amendment involves increase in amount or tolerance. Provide the collateral details based on the description provided in the following table:


Field	Description	Sample Values
Cash Collateral Details		
Collateral Percentage	Specify the percentage of collateral to be linked to this transaction.	
Collateral Currency and amount	System populates the contract currency as collateral currency by default. User can modify the collateral Currency and amount.	
Exchange Rate	System populates the exchange rate maintained. User can modify the collateral Currency and amount. System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified.	

Click + plus icon to add new collateral details.

Below fields are displayed on the Collateral Details pop-up screen, if the user clicks plus icon.

Total Collateral Amount	Read only field. This field displays the total collateral amount provided by the user.	
-------------------------	---	--

Field	Description	Sample Values
Collateral Amount to be Collected	Read only field. This field displays the collateral amount yet to be collected as part of the collateral split.	
Sequence Number	Read only field. The sequence number is auto populated with the value, generated by the system.	
Collateral Split %	Specify the collateral split% to be collected against the selected settlement account.	
Collateral Contribution Amount	Collateral contribution amount will get defaulted in this field.  The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.	
Settlement Account	Select the settlement account for the collateral.	
Settlement Account Currency	Settlement Account Currency will be auto-populated based on the Settlement Account selection.	
Exchange Rate	Read only field.  This field displays the exchange rate, if the settlement account currency is different from the collateral currency.	
Contribution Amount in Account Currency	Read only field.  This field displays the contribution amount in the settlement account currency as defaulted by the system.	
Account Available Amount	Read only field.  Account available amount will be auto-populated based on the Settlement Account selection.	
Response	Response can be 'Success' or 'Amount not Available'.  System populates the response on clicking the <b>Verify</b> button.	
Response Message	Detailed Response message.  System populates the response on clicking the <b>Verify</b> button.	
Verify	Click to verify the account balance of the Settlement Account.	
Save & Close	Click to save and close the record.	

Field	Description	Sample Values
Cancel	Click to cancel the entry.	
Below fields appear in the <b>Cash Collateral Details</b> grid along with the above fields.		
Collateral %	<p>User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message.</p> <p>System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product.</p> <p>User can modify the defaulted collateral percentage, in which case system should display an override message "Defaulted Collateral Percentage modified".</p>	
Contribution Amount	<p>This field displays the collateral contribution amount.</p> <p>The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.</p>	
Account Balance Check Response	This field displays the account balance check response.	
Delete Icon 	Click minus icon to remove any existing Collateral Details.	
Edit Link	Click edit link to edit any existing Collateral Details.	

### Commission, Charges and Taxes Details

On click of 'Next' in the previous screen, system will auto populate the charges, commission and tax components mapped to the product from the back office system.

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Commission, Charges and taxes

Recalculate
Redefault

Commission Details

Event

Event Description

Component	Rate	Modified Rate	Currency	Amount	Modified	Defer	Waive	Charge Party	Settlement Account
No data to display.									
Page 1 (0 of 0 items) < 1 > X									

Charge Details

Component	Tag currency	Tag Amount	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
No data to display.										
Page 1 (0 of 0 items) < 1 > X										

Tax Details

Component	Type	Value Date	Currency	Amount	Billing	Defer	Settlement Account
No data to display.							

Save & Close
Cancel

Provide the Commission Details based on the description provided in the following table:

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	
Event Description	Read only field. This field displays the description of the event.	
Component	Select the commission component	
Rate	Defaults from product. User can change the rate, if required.  The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate.  If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
Modified Rate	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Currency	Defaults the currency in which the commission needs to be collected	

Field	Description	Sample Values
Amount	<p>An amount that is maintained under the product code defaults in this field.</p> <p>The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in OBTFPM.</p> <p>If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.</p>	
Modified	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	
Waive	<p>Select the check box to waive charges/ commission.</p> <p>Based on the customer maintenance, the charges/commission can be marked for Billing or Defer.</p> <p>If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.</p>	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary	
Settlement Account	Details of the Settlement Account.	

## Charge Details

Field	Description	Sample Values
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Defaults the tag amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified Amount	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	
Billing	<p>If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can not select/de-select the check box if it is de-selected by default.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Defer	<p>If charges have to be deferred and collected at any future step, this check box has to be selected.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.</p>	
Waive	<p>If charges have to be waived, this check box has to be selected.</p> <p>Based on the customer maintenance, the charges should be marked for Billing or for Defer.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	

Field	Description	Sample Values
Settlement Account	Details of the settlement account.	

## Tax Details

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

Tax details are defaulted from the back-end system.

Field	Description	Sample Values
Component	Tax Component type	
Type	Type of tax Component.	
Value Date	This field displays the value date of tax component.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Billing	If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.  This field is disabled, if 'Defer' toggle is enabled.	
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled.  The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Settlement Account	Details of the settlement account.	

## FX Linkage

This section enables the user to link the existing FX contract(s) to the LC transactions. User can link multiple forward FX contracts.

FX contract linkage with the Bill booking can happen only for immediate liquidation of sight payment or for Usance. For manual sight payment, the user needs to link the FX contract on the date of liquidation of the Bill.

FX Linkage

FX Linkage

FX Reference Number	Bought Currency	SOLD Currency	Available Contract Amount	Rate	Linked Amount	Current Utilized Amount	Total Utilized Amount	FX Expiry Date	Action
PK2FXF1200761010			US\$0.00	0	US\$0.00				

Page 1 of 1 (1 of 1 items)

Average FX Rate  
0

Save & Close Cancel

Provide the FX linkage detail based on the description in the following table:

Field	Description	Sample Values
FX Reference Number	<p>Select the FX contract reference number from the LOV.</p> <p>On select and save and close, system defaults the available amount, bot currency, sold currency and rate.</p> <p>Forward FX Linkage available for selection at bill would be as follows,</p> <ul style="list-style-type: none"> <li>Counterparty of the FX contract should be the counterparty of the Bill contract.</li> <li>Active Forward FX transactions authorized not marked for auto liquidation.</li> </ul> <p>Bill contract currency should be BOT currency of the FX transaction in case of an export Bill or the SOLD currency in case of an Import Bill.</p>	
Bought Currency	This field displays the currency from the linked FX contract.	
Sold Currency	This field displays the currency from the linked FX contract.	
Available Contract Amount	Available amount will be FX contract amount minus the linked amount. Available amount for linkage should be greater than Zero.	
Rate	This field displays the rate at which the contract is booked.	
Linked Amount	<p>Sum of Linked amount will not be greater than LC contract amount.</p> <p>Linked amount will not be greater than the available amount for linkage.</p>	
Current Utilized amount	This field displays the liquidated /purchased / discounted /negotiated amount of BC contract. It cannot go beyond the linked FX amount.	

Field	Description	Sample Values
Total Utilized amount	This field displays the total amount utilized against the corresponding linked FX. On query, both Utilized and Total Utilized amount holds the amount of latest version.	
Amount in Contract Currency	This field displays the amount in contract currency converted from FX currency.	
FX Expiry Date	This field displays the expiry date from the linked FX contract.	
FX Delivery Period - From	This field displays the date from which the contract is valid for utilization.	
FX Delivery Period - To	This field displays the date to which the contract is valid for utilization.	
Action	Click the Edit icon to modify the FX details. Click the Delete icon to delete the FX details.	
Average FX Rate	Multiple forward FX contract could be linked, and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. This will be populated in the Average FX Rate.	

## Preview

User can view the draft LC amendment message (outgoing MT707 SWIFT message format) being displayed on the preview message text box.

A copy of draft LC amendment can be sent for customer confirmation and the same can be done by choosing the customer response toggle as 'yes'. The Draft MT707 message along with up to seven MT708 messages is sent to the applicant by Email as an attachment to the customer.

On submit, the message will be sent to the customer. The task will be moved to 'pending customer response' stage. Upon receipt of customer's confirmation, the transaction moves to approval.

In case the customer has requested for changes, the transaction will move to Data Enrichment and once the necessary changes made, the request moves to approval stage.

The screenshot shows a 'Draft Confirmation' window with two main sections: 'Draft Details' and 'Draft Confirmation'.

**Draft Details:**

- Language: English (dropdown)
- Preview Draft Message:
  - Instance Type and Transmission-----
  - Original Received from Application - Outgoing Draft
  - Priority/Delivery : Normal
  - Message Header-----
  - Swift Input : FIN 700 Issue of a Documentary Credit
  - Sender Swift address : AAMMNL21XXX
  - ANDROPAN ASSET MANAGEMENT B.V.
  - 206-216, HERENGRACHT
  - AMSTERDAM
  - Receiver Swift address : CITIUS33XXX
  - CITIBANK, NEW YORK -OUR USD COR
  - CITIUS33XXX
  - User Header-----

**Draft Confirmation:**

- Draft Confirmation Required: ☒
- Customer Response: Select (dropdown)
- Response Date: mm/dd/yy (calendar icon)
- Customer Remarks: [Text area]
- Default Email List: pss\_org\_ww@oracle.com
- Add Recipients: [Text area]

Buttons: Save & Close, Cancel

Provide the Preview details based on the description in the following table:

Field	Description	Sample Values
Language	Select the language for the SWIFT message.	
Preview Draft Message	Display a preview of the draft message.	
Draft Confirmation Required	This toggle enables the user to select if draft confirmation is required or not.	

Following fields will have values on receipt of customer response.

Customer Response	User can enter the response received from customer. If the response is received online, the response is auto populated in this field by the system.	
Customer Remarks	Remarks from the customer for the draft.	
Response Date	Customer Response received date.	
Default Email list	Default Email address of the customer.	
Add Recipients	Enables to add more recipients for the customer response.	

## Settlement Details

As part of DE, the user verifies and enter the basic additional details available in the Islamic LC Amend. In case the request is received through online channel he user verifies the details populated.

Import LC Issuance Islamic - DataEnrichment :: Application No: PK1111000007200

Screen ( 8 / 9 )

Settlement Details

☐ Current Event

Settlement Details

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator	Current Event
AILSR_COM1_LIQD	GBP	Debit	PK1000328012	HSBC BANK	GBP		N
AILSR_COMM_LIQD	GBP	Debit	PK1000328012	HSBC BANK	GBP		N
COLLAMT_OSEQ	USD	Debit	PK1000328012	HSBC BANK	GBP		N
COLLAMNDAMTEQ	USD	Debit	PK1000328012	HSBC BANK	GBP		Y
COLLAMTEQ	USD	Debit	PK1000328012	HSBC BANK	GBP		N
COLLAMT_DECR	USD	Credit	313100004	LC Confirming Charges	GBP		Y
COLLAMT_INCR	USD	Debit	PK1000328012	HSBC BANK	GBP		Y
COLLAMVALAMTEQ	USD	Credit	313100004	LC Confirming Charges	GBP		N
LCCOURAMND_LIQD	GBP	Debit	PK1000328012	HSBC BANK	GBP		Y
LCCOURISS_LIQD	GBP	Debit	PK1000328012	HSBC BANK	GBP		N

COLLAMNDAMTEQ - Party Details

Transfer Type: Bank Transfer

Charge Details: Remitter All Charges

Netting Indicator: [Dropdown]

Ordering Customer: [Search] Name/Account

Ordering Institution: [Search] Name/Account

Senders Correspondent: [Search] Name/Account

Receivers Correspondent: [Search] Name/Account

Ultimate Beneficiary: [Search] Name/Account

Intermediary Institution: [Search] Name/Account

Intermediary Reimbursement Institution: [Search] Name/Account

Account With Institution: [Search] Name/Account

Payment Details

Reject Refer Hold Cancel Save & Close Back Next

Provide the settlement details based on the description in the following table:

Field	Description	Sample Values
Current Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	Components gets defaulted based on the product selected.	
Currency	System displays the default currency for the component.	
Debit/Credit	System displays the debit/credit indicators for the components.	
Account	System displays the account details for the components.	
Account Description	System displays the description of the selected account.	
Account Currency	System defaults the currency for all the items based on the account number.	
Netting Indicator	System displays the applicable netting indicator.	
Current Event	System displays the the current event as Y or N.	

## Summary

User can review the summary of details updated in Data Enrichment stage Import LC Amendment Islamic request. The user can view the summary tiles. The tiles displays the list of important fields with values.

Import LC Amendment Islamic - DataEnrichment :: Application No: PK2IILM000007212

Overrides Customer Instruction Common Group Messages Incoming Message View LC Signatures

Screen ( 10 / 10 )

- Main Details
- Availability Shipment
- Documents and Conditions
- Payment Details
- Amendment Details
- Additional Fields
- Advices
- Additional Details
- Settlement Details
- Summary**

Main Details		Availability Shipment		Documents and Conditions		Payment Details	
Form of LC	: IRREVOCABLE	Available With	: PKBANK71XXX	Document 1	: AIRDOC	Period of Present.	:
Submission Mode	: Desk	Available By	: NEGOTIATION	Document 2	: INSDOC	Confirmation Instr.	: WITHOUT
Date of Issue	: 2021-05-05	Port of Loading	: Chennai	Document 3	: INVDOC		
Date of Expiry	: 2021-12-30	Port of Discharge	: London	Document 4	: MARDOC		
Place of Expiry	: Chennai			Document 5	: OTHERDOC		

Amendment Details		Additional Fields		Advices		Limits and Collaterals	
Click here to view	:	Click here to view	:	Advice 1	: LC_AMND_IN	Limit Currency	: GBP
Amended/Updated Details		Additional fields		Advice 2	: AMD_IMP_CR	Limit Contribution	: 100000
				Advice 3	: LC_AM_INST	Limit Status	: Not Verified
				Advice 4	: LC_CASH_CO	Collateral Currency	: GBP
				Advice 5	: LC_AMD_AUT	Collateral Contr.	: 8000
						Collateral Status	: Not Verified

Commission,Charges and Taxes		Revolving Details		Settlement Details		Preview Messages	
Charge	: GBP100	Revolving	: N	Component	: OTHBNKCHG_	Language	: ENG
Commission	: EUR150	Revolving In	:	Account Number	: PK20010440	Preview Message	: -
Tax	: GBP8005.17	Revolving Frequency	:	Currency	: GBP		
Block Status	: Not Initia						

Parties Details		Compliance details		Accounting Details	
Beneficiary	: MARKS AND	KYC	: Not Initia	Event	: AMND
Advising Bank	: WELLS FARG	Sanctions	: Not Initia	AccountNumber	: PK20010440
Applicant	: GOODCARE PLC	AML	: Not Initia	Branch	: PK2

Audit Reject Refer Hold Cancel Save & Close Back Next Submit

### Tiles Displayed in Summary

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required.
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Amendment Details - User can view the amended details of the issued LC.
- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.
- Additional Fields - User can view and modify the details of additional fields, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Revolving Details - User can view revolving details on revolving LC, if applicable.
- Preview Messages - User can view and modify preview details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	Task will get moved to next logical stage of Import LC Amendment.  If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later.  This option will not submit the request	
Cancel	Cancel the Data Enrichment stage inputs.	
Hold	The details provided will be registered and status will be on hold.  This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.  Reject Codes: <ul style="list-style-type: none"><li>• R1- Documents missing</li><li>• R2- Signature Missing</li><li>• R3- Input Error</li><li>• R4- Insufficient Balance/Limits</li><li>• R5 - Others.</li></ul> Select a Reject code and give a Reject Description.  This reject reason will be available in the remarks window throughout the process.	

## Customer Response - Draft Confirmation

The customer response can be received both by online and offline mode.

In non-online mode, user receives the response in the branch.

In online mode the customer will share their response online that will be automatically updated in the customer response field in the task, which is available in the customer response pending stage.

Log in into OBTFPM application, and open the task to see customer response screen.

### Customer Response

Language - Read only field

Draft Message - Read only field

## Draft Confirmation

Draft Confirmation required - Read only field

Customer Response - This field will be available for you to update any of the below response based on the customer's reply

- Accepted
- Changes Required - Change and proceed

For non-online response – User can select customer response from one of the three drop list values mentioned above.

For Online response – Read only

Customer Remarks - Capture the remarks of the customer.

Response Date - Non-Online channel – Update the date on which the customer response has been received.

Online Channel – Read only

## Summary

Tiles Displayed in Summary

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Amendment Details - User can view the amended details of the issued LC.
- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Revolving Details - User can view revolving details on revolving LC, if applicable.
- Preview Messages - User can view and modify preview details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Documents – User must be able to view the documents uploaded in the system and upload documents if customer response has been received through non- online channel.

Remark - Capture remarks if required and must be able to view the remarks captured during earlier stages.

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	Task will get moved to next logical stage of Import LC Amendment.  If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Cancel	Cancel the Draft Confirmation.	
Hold	The details provided will be registered and status will be on hold.  This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.  Reject Codes: <ul style="list-style-type: none"><li>• R1- Documents missing</li><li>• R2- Signature Missing</li><li>• R3- Input Error</li><li>• R4- Insufficient Balance/Limits</li><li>• R5 - Others.</li></ul> Select a Reject code and give a Reject Description.  This reject reason will be available in the remarks window throughout the process.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.  Refer Codes: <ul style="list-style-type: none"><li>• R1- Documents missing</li><li>• R2- Signature Missing</li><li>• R3- Input Error</li><li>• R4- Insufficient Balance- Limits</li><li>• R5 - Others</li></ul>	

## Exceptions

The Import LC Amendment request, before it reaches the approval stage, the application will validate the Amount Block, KYC and AML. If any of these failed in validation will reach exception stage for further clearance for the exceptions.

## Exception - Amount Block

As part of amount block validation, application will check if sufficient balance is available in the account to create the block. On hand-off, system will debit the blocked account to the extent of block and credit charges/ commission account in case of charges block or credit the amount in suspense account for blocks created for collateral.

The transactions that have failed amount block due to non-availability of amount in respective account will reach the amount block exception stage.

Log in into OBTFPM application, amount block exception queue. Amount block validation failed tasks for trade transactions will be listed in the queue. Open the task to view summary of important fields with values.

On Approval, system should not release the Amount Block against each applicable account and system should handoff the "Amount Block Reference Number" to the back office. On successful handoff, back office will make use of these "Amount Block

Reference Number" to release the Amount Block done in the mid office (OBTFPM) and should debit the CASA account from the Back office. If multiple accounts are applicable, Amount Block.

Reference for all accounts to be passed to the back office.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

Approve:

- Settlement amount will be funded (outside of this process)
- Allow account to be overdrawn during hand-off

Refer:

- Refer back to DE providing alternate settlement account to be used for block.
- Different collateral to be mapped or utilize lines in place of collateral.

Reject:

Reject the transaction due to non-availability of sufficient balance in settlement account

### Amount Block Exception

This section will display the amount block exception details.

FuTura Bank

Free Tasks

Import LC Amendment - Amount Block Exception Approval :: Application No: GS1ILCA000006076

Documents

Remarks

Audit

View LC

Amount Block Exception

Summary

Summary

Main Details

Form Of LC : **IRREVOCABLE**

Submission Mode : **Desk**

Date Of Issue : **2019-02-01**

Date Of Expiry : **2019-05-31**

Place Of Expiry : **NEGOTIATING**

Availability

Available With : **ANY BANK**

Available By : **PAYMENT**

Port of Loading : **b**

Port of Discharge : **chennai**

Payment

Period Of Present. : **21**

Confirmation Instr. : **WITHOUT**

Documents & Conditions

Document 1 : **BOL**

Document 2 : **INSDOC**

Document 3 : **MARDOC**

Document 4 : **PACKINGLIST**

Revolving Details

Revolving : **N**

Revolving In :

Revolving Frequency :

Limits Details

Limit Currency : **GBP**

Limit Contribution : **13200**

Limit Status : **Not Verified**

Collateral Currency : **GBP**

Collateral Contr. : **1320**

Collateral Status : **Success**

Party Details

Applicant : **EMR & CO**

Beneficiary : **NESTLE**

Advising Bank : **CITIBANK NY**

Charge

Charge : **GBP600**

Commission :

Tax :

Block Status : **Failed**

Preview

Confirm. Required : **No**

Response Date :

Confirm. Response :

Compliance

KYC : **Verified**

Sanctions : **Verified**

AML : **Verified**

Audit

Reject

Hold

Refer

Cancel

Approve

Back

Next

SRIDHAR01

subham@gmail.com

Feb 1, 2019

Screen ( 2 / 2 )

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required.
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Revolving Details - User can view revolving details on revolving LC, if applicable.
- Preview Messages - User can view and modify preview details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"><li>• R1- Documents missing</li><li>• R2- Signature Missing</li><li>• R3- Input Error</li><li>• R4- Insufficient Balance/Limits</li><li>• R5 - Others.</li></ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"><li>• R1- Documents missing</li><li>• R2- Signature Missing</li><li>• R3- Input Error</li><li>• R4- Insufficient Balance- Limits</li><li>• R5 - Others</li></ul>	
Cancel	<p>Cancel the Import LC Amendment Amount Block Exception check.</p>	
Approve	<p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage.</p>	
Back	<p>Task moves to previous logical step.</p>	

## Exception - Know Your Customer (KYC)

As part of KYC validation, application will check if necessary KYC documents are available and valid for the applicant. The transactions that have failed KYC due to non-availability / expired KYC verification will reach KYC exception stage.

Log in into OBTFPM application, KYC exception queue. KYC exception failed tasks for trade finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.

User can pick up a transaction and do the following actions:

### Approve

- After changing the KYC status in the back end application (outside this process).
- Without changing the KYC status in the back end application.
- Reject (with appropriate reject reason).

### Summary

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

### Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"><li>• R1- Documents missing</li><li>• R2- Signature Missing</li><li>• R3- Input Error</li><li>• R4- Insufficient Balance/Limits</li><li>• R5 - Others.</li></ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"><li>• R1- Documents missing</li><li>• R2- Signature Missing</li><li>• R3- Input Error</li><li>• R4- Insufficient Balance- Limits</li><li>• R5 - Others</li></ul>	

Field	Description	Sample Values
Cancel	Cancel the Import LC Amendment KYC exception check.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

## Exception - Limit Check/Credit

The transactions that have failed limit check due to non-availability of limits will be available in limit check exception queue for further handling.

Log in into OBTFPM application, limit check exception queue. Limit check exception failed tasks for trade finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.



### Note

On Approval of the exception task, system should validate the Limit Availability, Limit Expiry Date in the Limit System and create Earmark in the ELCM system. In case if the Limit is not available or the Limit is expired, then system should display an error message and should not allow the user to approve and proceed.

Limit check Exception approver can do the following actions:

### Approve

- Limit enhanced in the back end (outside this process).
- Without enhancing limit in the back end.

### Refer

- Refer back to DE providing alternate limit id to map
- Refer additional collateral to be mapped

### Reject

The transaction due to non-availability of limits capturing reject reason.

## Limit/Credit Check

This section will display the amount block exception details.

## Summary

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Amendment Details - User can view the amended details of the issued LC.

- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Revolving Details - User can view revolving details on revolving LC, if applicable.
- Preview Messages - User can view and modify preview details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance- Limits</li> <li>• R5 - Others</li> </ul>	
Cancel	Cancel the Import LC Amendment Limit exception check.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

## Multi Level Approval

User can view the summary of details updated in multilevel approval stage of Import LC Amend Islamic request.

Log in into OBTFPM application and open the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

## Authorization Re-Key

For non online channel, application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

- Applicant Party
- Application Date

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able to see the summary tiles and the details in the screen by drill down from tiles.

Action	Priority	Application Number	Process Name	Stage	Back Office Ref No.
Acquire & Edit	M	GS1LCL000006126	Import LC Liquidation	Approval	NA
Acquire & Edit	H	GS1ELCA000006127	Export LC Advising	Scrutiny	GS1ELAC19032BLHM
Acquire & Edit	M	GS1ILCA000006124	Import LC Amendment	Retry HandOff	GS1ILSN19032ABYN
Acquire & Edit	H	GS1ELCA000006125	Export LC Advising	Data Enrichment	GS1ELAC19032BLHL
Acquire & Edit	H	GS1ELCA000006123	Export LC Advising	Scrutiny	GS1ELAC19032BLHK
Acquire & Edit	H	GS1ELCA000006122	Export LC Advising	Data Enrichment	GS1ELAC19032BLHJ

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the required documents.	
Remarks	Provide any additional information regarding the LC Amendment. This information can be viewed by other users processing the request.	
Incoming Message	Displays the incoming message, if any.	

Field	Description	Sample Values
<b>Action Buttons</b>		
Proceed	On proceed, the screen navigates to approval summary screen.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R5 - Others</li> </ul>	
Cancel	Cancel the Import LC Amendment Approval Rekey.	

## Summary

Import LC Issuance Islamic - Approval Task Level 1 :: Application No: PK2GTEA0000352E

Customer Instruction
Common Group Messages
 Incoming Message

Summary

<b>Main</b> Form of LC : <b>IRREVOCABLE</b> Submission Mode : <b>Desk</b> Date of Issue : <b>2021-05-05</b>	<b>Availability</b> Available With : <b>PKBANK71XXX</b> Available By : <b>NEGOTIATION</b> Port of Loading : <b>Chennai</b> Port of Discharge : <b>London</b>	<b>Payment</b> Period of Present. : Confirmation Instr. : <b>WITHOUT</b>	<b>Documents and Conditions</b> Document 1 : <b>AIRDOC</b> Document 2 : <b>INSDOC</b> Document 3 : <b>INVDOD</b> Document 4 : <b>MARDOC</b> Document 5 : <b>OTHERDOC</b>
<b>Additional Fields</b> Click here to view : Additional fields	<b>Advices</b> Advice 1 : Advice 2 :	<b>Revolving Details</b> Revolving : <b>N</b> Revolving In : Revolving Frequency :	<b>Limits and Collaterals</b> Limit Currency : <b>GBP</b> Limit Contribution : <b>100000</b> Limit Status : <b>Not Verified</b> Collateral Currency : <b>GBP</b> Collateral Contr. : <b>8000</b> Collateral Status : <b>Not Verified</b>
<b>Commission, Charges and Taxes</b> Charge : Commission : Tax : Block Status : <b>Not Initia</b>	<b>Preview Messages</b> Language : <b>ENG</b> Preview Message : -	<b>Party Details</b> Applicant : <b>NATIONAL F</b> Advising Bank : <b>WELLS FARG</b> Beneficiary : <b>MARKS AND</b>	<b>Compliance</b> Compliance : <b>Not Verified</b> Sanctions : <b>Not Initia</b> AML : <b>Not Initia</b>

Audit
Reject
Refer
Hold
Approve
Back
Next

### Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Amended Details - User can view the amended details of the issued LC.
- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Revolving Details - User can view revolving details on revolving LC, if applicable.
- Preview Messages - User can view and modify preview details, if required.

- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance- Limits</li> <li>• R5 - Others</li> </ul>	
Cancel	Cancel the approval.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.	

## Customer - Acknowledgement

Customer Acknowledgment is generated every time a new Import LC Amendment is requested from the customer. The acknowledgment letter format is as follows:

To:

<CUSTOMER NAME>

DATE: DD-MM-YYYY

<CUSTOMER ADDRESS>

Dear Sir,

SUB: Acknowledgment to your LC Amendment Application number <USER REFERENCE> dated <APPLICATION DATE>

This letter is to let you know that we have received your application requesting amendment to Import LC with the below details.

APPLICATION DATE:< APPLICATION DATE>

APPLICANT NAME: <APPLICANT NAME>

CURRENT/AMOUNT: <LC CCY/AMT>

YOUR REFERENCE NO: <USER REFERENCE NUMBER>

OUR REF NUMBER: <PROCESS REF NUMBER>

We have registered your request. Please quote our reference < PROCESS REF NUMBER> for any future correspondence.

This acknowledgment does not warrant Amendment of LC on you behalf.

Thank You for banking with us.

Regards,

<DEMO BANK>

Notice: This document is strictly private, confidential and personal to its recipients and should not be copied, distributed or reproduced in whole or in part, nor passed to any third party. The information contained in this e-mail/ message and/or attachments to it may contain confidential or privileged information. If you are not the intended recipient, any dissemination, use, review, distribution, printing or copying of the information contained in this e-mail message and/or attachments to it are strictly prohibited.

If you have received this communication in error, please notify us by reply e-mail or telephone and immediately and permanently delete the message and any attachments. Thank you

## Customer - Reject Letter

Reject Letter is generated by the system and addressed to the customer, when a task is rejected by the user. The Reject Letter format is as follows:

FROM:

<BANK NAME>

<BANK ADDRESS>

TO:

DATE <DD/MM/YYYY>

<CUSTOMER NAME>

<CUSTOMER ADDRESS>

<CUSTOMER ID>

Dear Sir,

SUB: Your LC Application <User Ref> under our Process Ref <Process Ref No> - Rejected

Further to your recent LC amendment application request dated <Application Date -dd/mm/yy>, under our process ref no <process ref no>, this is to advise you that we will not be able to issue the required LC amendment.

After a thorough review of your application and the supporting documents submitted, we have concluded we will not be able to issue the LC due to the below reasons

1. XXXXXXXXXX
2. XXXXXXXXXX
3. XXXXXXXXXX

On behalf of Demo Bank, we thank you for your ongoing business and trust we will continue to serve you in future.

For any further queries about details of your LC application review, please contact us at <bank customer support ph.no>

Yours Truly

<Authorized Signatory>

## Reject Approval

As a Reject approver, user can review a transaction rejected and waiting for reject confirmation.

Log in into OBTFPM application to view the reject approval tasks for Import LC Amendment in queue. On opening the task, you will see summary tiles. The tiles will display a list of important fields with values.

The tile containing the screen from where the reject was triggered will be highlighted in red.

User can drill down from reject summary tiles into respective data segments to verify the details of all fields under the data segment.

## Application Details

The application details data segment have values for requests received from both non-online and online channels.

## Summary

The data captured during handling of the transaction until the stage when reject is given will be available in the summary tile. Other fields will be blank when verified from summary tile.

The data segment in which the task was rejected will have the tiles highlighted in a different colour (red).

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required.
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Amended Details - User can view the amended details of the issued LC.
- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Revolving Details - User can view revolving details on revolving LC, if applicable.
- Preview Messages - User can view and modify preview details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

## Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject Approve	On click of Reject Approve, the transaction is rejected.	
Reject Decline	On click of Reject Decline, the task moves back to the stage where it was rejected. User can update the reason for reject decline in remarks.	
Hold	User can put the transaction on 'Hold'. Task will remain in Pending state.	
Cancel	Cancel the Reject Approval.	

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### References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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